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Proposed programme budget for the biennium 2016-2017*

Part XI
Capital expenditures

Section 33
Construction, alteration, improvement and major maintenance

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** A summary of the approved programme budget will be issued as A/70/6/Add.1.

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Overview

Table 33.1 **Financial resources**

(United States dollars)

Approved resources for 2014-2015	109 864 500
Technical adjustments (removal of non-recurrent requirements)	(52 012 100)
New mandates and inter-component changes	21 748 000
Changes in line with General Assembly resolution 69/264 (further reduction)	(281 800)
Total resource change	(30 545 900)
Proposal of the Secretary-General for 2016-2017[a]	79 318 600

[a] At 2014-2015 revised rates.

Overall orientation

33.1 The resource requirements for capital expenditure-related activity consisting of alteration and improvement to, and major maintenance of, the principal properties and infrastructure of the United Nations worldwide are set out in the present section. This includes the modernization of existing buildings and technical installations. Resources are requested centrally under this section to ensure a coherent and systematic approach to facilities management, major maintenance and construction and information and communications technology operations. It should be noted that the staff and related costs for the administration and management of the activities proposed in the present section are included under the respective main sections of the proposed programme budget for the biennium 2016-2017 for United Nations Headquarters, the United Nations Office at Geneva, the United Nations Office at Vienna, the United Nations Office at Nairobi, the Economic Commission for Latin America and the Caribbean (ECLAC), the Economic and Social Commission for Asia and the Pacific (ESCAP), the Economic Commission for Africa (ECA) and the Economic and Social Commission for Western Asia (ESCWA). Section 33 does not cover requirements for post or post-related costs, with the exception of general temporary assistance positions that have been approved by the General Assembly in respect of specific time-bound capital projects.

33.2 The long-established criteria used to decide whether a project is categorized under alteration and improvement work or under major maintenance work are as follows:

(a) Projects that are capital-intensive and of a structural nature that would substantially alter or improve facilities or infrastructure, whether physical or information technology, are classified under alteration and improvement;

(b) Projects to repair or replace existing installations (e.g., heating, ventilation and air conditioning) and systems (e.g., electrical, information technology and security), or to generally maintain facilities and services in good working order, are classified under major maintenance.

33.3 With regard to the major maintenance component of the proposals set out in section 33, the majority of projects are recurrent, reflecting ongoing activities to ensure that buildings are maintained in good working order and that the physical and information technology infrastructure is robust, mitigating disruption of operational services. Conversely, alteration and improvement

work typically consists of one-time, non-recurrent projects that, owing to their scale and complexity, may span more than one biennium (multi-year projects).

33.4 The projects included under this section relate to: (a) subprogramme 4, Support services, subprogramme 5, Information and communications technology strategic management and coordination, and subprogramme 6, Information and communications technology operations, of A, Headquarters; and (b) subprogramme 4, Support services, and subprogramme 6, Information and communications technology operations, of B, United Nations Office at Geneva, C, United Nations Office at Vienna, and D, United Nations Office at Nairobi, of programme 25, Management and support services, of the biennial programme plan for the period 2016-2017 (A/69/6/Rev.1).

33.5 The overall objectives of the subprogrammes are to ensure the efficient and effective functioning of the Secretariat with regard to office and conference facilities and asset management, and to ensure the efficient, effective and transparent achievement of the strategic, functional and operational goals of the Organization by leveraging information and communications technology. Expected accomplishments and indicators of achievement related to the accomplishment of these objectives are included within the logical framework of the Office of Central Support Services, the Office of Information and Communications Technology, and the administrative services at Geneva, Vienna and Nairobi.

33.6 At Headquarters, the projects proposed under the section fall under the responsibility of the Office of Central Support Services and the Office of Information and Communications Technology, as appropriate. The administrative services at Geneva, Vienna and Nairobi and the regional commissions are responsible within their respective spheres for the implementation of the projects under this section and the administration of related resources. The proposed projects relating to the safety and security of the United Nations premises in all main locations will be implemented in close coordination with the Department of Safety and Security.

33.7 In accordance with General Assembly resolution 52/220, the Office of Central Support Services will exercise its coordinating role in providing integrated and coordinated management policy and guidelines and technical assistance to locations outside Headquarters in the implementation of facilities management projects. The Office of Central Support Services has utilized capacity within its existing organizational structure to provide advice and guidance for a number of overseas projects. Capacity within the Office was strengthened in the biennium 2010-2011 through the establishment of the Overseas Property Management Unit under the Facilities Management Service. The Unit is responsible for the provision of guidance, support and technical advice to offices away from Headquarters and regional commissions in the planning, management and monitoring of their major construction projects. The General Assembly, for example in its resolution 69/262, has reiterated that the Office should provide support for the implementation of overseas facilities management projects and emphasized the importance of guidance, interaction and coordination between the United Nations Secretariat in New York and other duty stations.

33.8 The Secretary-General, in his report A/69/760, presented the results of the strategic capital review[1] to the General Assembly for its consideration. The report presented a 20-year capital maintenance programme for the period from 2018 to 2037 covering the owned and leased buildings at eight main locations (New York, Geneva, Vienna, Nairobi, Addis Ababa, Bangkok, Beirut, and Santiago) and 20 subregional commission locations. The strategic capital review is currently in the third

[1] The strategic capital review has been developed in accordance with General Assembly resolutions 65/259 and 68/247 B. It is coordinated by the Overseas Properties Management Unit of the Office of Central Support Services in close coordination with the interim Property Management Unit, International Public Sector Accounting Standards (IPSAS) project team and the Umoja project team to ensure continuity in organizational initiatives and policies relating to real estate management.

stage of the three-stage process, which includes the development and establishment of a rolling database to be used for monitoring and reporting on project performance.

33.9 In its resolution 69/262, the General Assembly requested the Secretary-General to better assess the potential costs and benefits of a preventive maintenance programme, as compared with the existing reactive approach, and reaffirmed that any potential proposals stemming from the strategic capital review with budgetary implications should follow the procedure set out in the Financial Regulations and Rules of the United Nations. Accordingly, the proposed resource requirements under this section have been prepared on the basis of the established methodology, but taking into consideration the results of the strategic capital review.

33.10 With regard to the preparation of the proposed programme budget for the biennium 2016-2017, the Office of Central Support Services reviewed the proposed activities for which resources would be requested under section 33 for each of the offices away from Headquarters and the regional commissions, providing technical guidance and advice, as necessary, to ensure efficient planning and estimation of costs, in respect of the properties of the Organization and their effective overall management.

33.11 The Office of Information and Communications Technology was established pursuant to resolution 63/262, and provides central leadership in the establishment and implementation of Organization-wide information and communications technology strategy and standards and ensures efficient utilization of resources in the modernization of information systems and the improvement of information and communications services. The Office sets the overall strategic direction and provides enterprise systems and infrastructure with a view to achieving coherence and coordination. In that context, the Office of Information and Communications Technology is responsible for centrally administering projects for upgrading the global network infrastructure in offices away from Headquarters and regional commissions, through the implementation of the enterprise network project, which is aimed at standardizing the network infrastructure equipment and bringing all networks worldwide to the same standard of functionality, quality and reliability.

Overview of resources

33.12 The overall resources proposed for the biennium 2016-2017 for this budget section amount to $79,318,600 before recosting, reflecting a net decrease of $30,545,900 (or 27.8 per cent) compared with the appropriation for the biennium 2014-2015. Resource changes result from three factors, namely: (a) technical adjustments relating to the removal of non-recurrent requirements; (b) new mandates and inter-component changes; and (c) resource changes in line with General Assembly resolution 69/264 (further reductions). The proposed reductions will not impact full and effective mandate implementation.

33.13 The distribution of resources is reflected in tables 33.2 to 33.4 below.

Table 33.2 **Financial resources by component**

(Thousands of United States dollars)

Regular budget

Component	2012-2013 expenditure	2014-2015 appropriation	Resource changes: Technical adjustment (non-recurrent, biennial provision of posts)	Resource changes: New mandates and inter-component changes	Resource changes: Further reductions in line with resolution 69/264	Resource changes: Efficiencies in line with resolution 69/264	Total resource change	Percentage	Total before recosting	Recosting	2016-2017 estimate
A. Alteration and improvement											
1. Headquarters	11 994.4	5 190.7	–	1 138.2	–	–	1 138.2	21.9	6 328.9	294.5	6 623.4
2. Geneva	4 481.9	48 594.5	(44 532.1)	(303.5)	(6.5)	–	(44 842.1)	(92.3)	3 752.4	4.5	3 756.9
3. Vienna	2 665.3	2 160.3	–	(55.6)	(10.6)	–	(66.2)	(3.1)	2 094.1	27.5	2 121.6
4. Nairobi	2 652.1	3 515.1	–	(750.1)	(10.0)	–	(760.1)	(21.6)	2 755.0	268.3	3 023.3
5. ESCAP	2 571.5	2 052.6	–	8 416.6	(12.8)	–	8 403.8	409.4	10 456.4	909.7	11 366.1
6. ECLAC	926.2	984.7	–	385.8	–	–	385.8	39.2	1 370.5	87.7	1 458.2
7. ECA	1 899.4	4 367.6	(2 126.2)	13 446.9	–	–	11 320.7	259.2	15 688.3	2 552.0	18 240.3
8. ESCWA	100.5	5 426.6	(5 353.8)	52.8	–	–	(5 301.0)	(97.7)	125.6	10.3	135.9
Subtotal, A	**27 291.3**	**72 292.1**	**(52 012.1)**	**22 331.1**	**(39.9)**	**–**	**(29 720.9)**	**(41.1)**	**42 571.2**	**4 154.5**	**46 725.7**
B. Major maintenance											
1. Headquarters	11 390.6	11 191.5	–	1 173.4	(48.4)	–	1 125.0	10.1	12 316.5	573.1	12 889.6
2. Geneva	14 361.1	11 127.9	–	303.5	(67.5)	–	236.0	2.1	11 363.9	12.5	11 376.4
3. Vienna	1 035.1	1 295.3	–	(54.2)	(6.2)	–	(60.4)	(4.7)	1 234.9	16.3	1 251.2
4. Nairobi	2 854.2	2 961.2	–	750.1	(21.5)	–	728.6	24.6	3 689.8	359.5	4 049.3
5. ESCAP	710.2	583.0	–	583.4	–	–	583.4	100.1	1 166.4	96.4	1 262.8
6. ECLAC	1 466.9	1 555.7	–	(385.8)	(12.4)	–	(398.2)	(25.6)	1 157.5	74.1	1 231.6
7. ECA	1 111.3	1 922.8	–	(698.9)	(20.3)	–	(719.2)	(37.4)	1 203.6	187.2	1 390.8
8. ESCWA	313.3	409.2	–	57.0	(2.4)	–	54.6	13.3	463.8	37.9	501.7
Subtotal, B	**33 242.7**	**31 046.6**	**–**	**1 728.5**	**(178.7)**	**–**	**1 549.8**	**5.0**	**32 596.4**	**1 357.0**	**33 953.4**
C. United Nations enterprise network	6 831.7	6 525.8	–	(2 311.6)	(63.2)	–	(2 374.8)	(36.4)	4 151.0	193.2	4 344.2
Total	**67 365.8**	**109 864.5**	**(52 012.1)**	**21 748.0**	**(281.8)**	**–**	**(30 545.9)**	**(27.8)**	**79 318.6**	**5 704.7**	**85 023.3**

Table 33.3 **Distribution of resources by component**

(Percentage)

	Regular budget
A. Alteration and improvement	53.7
B. Major maintenance	41.1
C. United Nations enterprise network	5.2
Total	**100.0**

Technical adjustments

33.14 Resource changes reflect the removal of non-recurrent requirements totalling $52,012,100 approved in the biennium 2014-2015 relating to: (a) one-time provision ($44,532,100) for project activities relating to the strategic heritage plan, pursuant to General Assembly resolutions 68/247 and 69/262; (b) one-time provision ($5,353,800) for the implementation of mitigation measures to strengthen the safety and security of staff at the Economic and Social Commission for West Asia in Beirut, approved by the General Assembly in its resolution 68/247 B; and (c) one-time provision for consultancy services relating to the renovation of the Africa Hall Building ($2,126,200), as approved in General Assembly resolution 69/262.

New mandates and inter-component changes

33.15 Resources in the amount of $21,748,000 have been requested to reflect: (a) additional resources proposed for the renovation of Africa Hall Building, pursuant to General Assembly resolution 69/262 ($12,748,000); (b) provisions for the seismic mitigation and replacement project in ESCAP ($9,000,000), pursuant to General Assembly resolution 69/274; and (c) redistribution of resources between Alteration and improvement (component A) and Major maintenance (component B) and the United Nations enterprise network (component C) to reflect the anticipated operational needs for the biennium 2016-2017, with no net impact upon the overall provision requested.

Resource changes in line with General Assembly resolution 69/264 (further reductions)

33.16 Resource changes of $281,800 are proposed, in line with General Assembly resolution 69/264, in relation to Alteration and improvement ($39,900), Major maintenance ($178,700) and United Nations enterprise network ($63,200) that take into account further reductions that the various offices plan to bring about in the biennium 2016-2017.

Composition of proposed activities

33.17 Section 33 undergoes reprogramming, including reprioritization, by programme managers each biennium because it contains a portfolio of programme activities, only a portion of which give rise to recurrent requirements for maintenance, with the remainder being new or multi-year projects for specific capital work. Each proposed programme budget for a new biennium reflects the completion of non-recurrent activities and the introduction of newly programmed, non-recurrent activities in their place.

33.18 Table 33.4 below subcategorizes the resource requirements related to projects proposed under the present section under alteration and improvement and major maintenance into: (a) deferred activity; (b) multi-year phased projects; (c) recurrent projects; or (d) new activity. The breakdown by subcategory allows the aggregate portfolio of activities proposed under section 33 to be viewed in terms of nature and duration.

Table 33.4 **Nature and duration of projects proposed for the biennium 2016-2017 by duty station (before recosting)**

(Thousands of United States dollars)

Description	*Headquarters*	*Geneva*	*Vienna*	*Nairobi*	*ESCAP*	*ECLAC*	*ECA*	*ESCWA*	*Total*
A. Alteration and improvement									
Deferred activity from prior period(s)	–	–	–	–	–	–	–	–	–
Multi-year phased projects	3 630.0	1 747.4	–	1 322.4	9 300.0*	593.1	15 363.3	–	31 956.2
Recurrent activities	2 499.5	–	2 094.1	250.0	–	55.0	325.0	125.6	5 349.2
New activity for 2016-2017	199.4	2 005.0	–	1 182.6	1 156.4	722.4	–	–	5 265.8
Subtotal, A	**6 328.9**	**3 752.4**	**2 094.1**	**2 755.0**	**10 456.4**	**1 370.5**	**15 688.3**	**125.6**	**42 571.2**
B. Major maintenance									
Deferred activity from prior period(s)	–	–	–	–	–	–	–	–	–
Multi-year phased projects	–	4 354.3	–	959.0	–	621.0	–	–	5 934.3
Recurrent activities	12 316.5	5 152.1	1 234.9	2 339.8	–	125.6	350.0	463.8	21 982.7
New activity for 2016-2017	–	1 857.5	–	391.0	1 166.4	410.9	853.6	–	4 679.4
Subtotal, B	**12 316.5**	**11 363.9**	**1 234.9**	**3 689.8**	**1 166.4**	**1 157.5**	**1 203.6**	**463.8**	**32 596.4**
C. United Nations enterprise network									
Recurrent activities	4 151.0	–	–	–	–	–	–	–	4 151.0
Total	**22 796.4**	**15 116.3**	**3 329.0**	**6 444.8**	**11 622.8**	**2 528.0**	**16 891.9**	**589.4**	**79 318.6**

* The amount includes $9,000,000 in respect of the proposed seismic mitigation and life-cycle replacement project for the biennium 2016-2017, which would be a significant multi-year phased activity. The project would be new activity, although resource requirements for evaluation, feasibility and engineering studies have been approved under the programme budget for previous bienniums.

33.19 As illustrated in table 33.4 above, a number of the projects for which resources are requested under the present section for the biennium 2016-2017 represent the start or continuation of multi-year projects ($37,890,500), for example the renovation of Africa Hall Building (ECA) and the seismic mitigation and life-cycle replacement project (ESCAP).

33.20 As regards provisions for recurrent activity ($31,482,900) these are predominantly under major maintenance ($21,982,700), with a relatively small amount under alteration and improvement ($5,349,200) together with the enterprise-wide information technology requirements under the United Nations enterprise network ($4,151,000). The remainder of the resources requested represent new activity for the biennium 2016-2017 ($9,945,200).

Other information

33.21 The proposals set out above should be considered in the context of the value (excluding land) of United Nations-owned buildings and premises. The most recent valuation of United Nations-owned buildings, by duty station, is set out in annex I to the report on the strategic capital review (A/69/760).

A. Alteration, improvement and major maintenance programmes

1. Headquarters

Resource requirements (before recosting): $18,645,400

33.22 The total provision of $18,645,400 at Headquarters consists of $6,328,900 for alteration and improvement, with approximately 57.4 per cent of the proposals being multi-year phased projects, 39.5 per cent being recurrent projects and 3.2 per cent being new projects; and $12,316,500 for major maintenance activity, all of which is recurrent.

33.23 As regards alteration and improvement, a provision of $6,328,900 would cover the following:

(United States dollars)

(a) Improvement of facilities and general infrastructure	199 400
(b) Improvement to information and communications technology infrastructure	6 129 500
Total	**6 328 900**

33.24 As regards major maintenance, a provision of $12,316,500 would cover the requirements for the maintenance of Headquarters premises and can be summarized as follows:

(United States dollars)

(a) Major maintenance of facilities and general infrastructure	8 229 900
(b) Major maintenance of conference facilities and related infrastructure	404 200
(c) Major maintenance of safety and security installations	3 682 400
Total	**12 316 500**

33.25 The total provision of $18,645,400 reflects a net increase of $2,263,200, or 13.8 per cent, compared with the appropriation for the biennium 2014-2015. The net increase results from the combined effect of: (a) inward redeployment of $2,311,600 from United Nations enterprise network (component C) to provide for the increased requirements for enterprise storage system upgrades and replacement of servers ($1,138,200) under Alteration and improvement (component A), and the increased requirements for the maintenance of information technology ($1,173,400) parts of the safety and security systems for the Headquarters complex, under Major maintenance (component B); marginally offset by (b) reductions of $48,400, in line with General Assembly resolution 69/264.

2. United Nations Office at Geneva

Resource requirements (before recosting): $15,116,300

33.26 The total provision of $15,116,300 for the United Nations Office at Geneva consists of $3,752,400 for alteration and improvement, with approximately 46.6 per cent being multi-year phased projects and 53.4 per cent being new projects, and $11,363,900 for major maintenance projects, with approximately 38.3 per cent being multi-year phased projects, 45.4 per cent being recurrent projects and 16.3 per cent being new projects.

33.27 The selection of the proposed requirements for the forthcoming biennium 2016-2017 has been made in a strategic and planned manner, with a view to ensuring that the work would not need to

be repeated in the context of the strategic heritage plan, should the General Assembly authorize its commencement. The selection of the proposed requirements took into consideration the considerable maintenance challenges faced and the necessity to:

(a) Ensure the health, safety and security of the delegates, staff, contractors and visitors at the Palais;

(b) Maintain business continuity and the operability of the buildings at the Palais, and reduce the risk of failure, closure of conference rooms, and interruption of meeting sessions, and continue to provide conference facilities to Member States;

(c) Make the appropriate investment in the regular upkeep of the current infrastructure maintenance in order to avoid more costly intervention in the future;

(d) Enhance the efficient and effective use of available space in the Palais by maximizing its office accommodation capacity to consolidate more entities in the Palais grounds and reduce the operating cost.

33.28 The total provision reflects a decrease of $44,606,100 as compared to the appropriation for the biennium 2014-2015, which reflects: (a) the removal of non-recurrent requirements ($44,532,100) approved for the biennium 2014-2015 for activities relating to the strategic heritage plan; and (b) further reduction ($74,000), in line with General Assembly resolution 69/264. Resource requirements ($303,500) are proposed to be redeployed between Alteration and improvement (component A) and Major maintenance (component B) for Geneva, to better reflect the operational needs for the biennium, with no net impact on the overall level of the proposed provision.

33.29 As regards alteration and improvement, a provision of $3,752,400 would cover the following:

(United States dollars)

(a) Improvement of facilities and general infrastructure	2 005 000
(b) Improvement to the information and communications technology infrastructure	1 317 400
(c) Improvement of facilities for persons with disabilities	430 000
Total	**3 752 400**

33.30 As regards major maintenance, a provision of $11,363,900 would cover activities in the areas of heating, ventilation, air conditioning, accessible toilets with sanitary columns, electrical systems maintenance, repair works on waterproofing and thermal insulation systems, and urgent structural and architectural maintenance, including the replacement of elevators, repair of roof cornices and stone façade and other maintenance, in order to ensure the reliability of the premises and the safety of delegates, staff and visitors. The provision under major maintenance can be summarized as follows:

(United States dollars)

(a) Major maintenance of facilities and general infrastructure	7 787 000
(b) Major maintenance of safety and security installations	3 576 900
Total	**11 363 900**

3. United Nations Office at Vienna

Resource requirements (before recosting): $3,329,000

33.31 The total provision of $3,329,000 consists of $2,094,100 for alteration and improvement, with 100 per cent being recurrent projects; and $1,234,900 for major maintenance, also entirely for recurrent projects.

33.32 The resources requested for the biennium 2016-2017 would cover the share of the United Nations Office at Vienna of the cost of improving and maintaining the Vienna International Centre, which is managed by the United Nations Industrial Development Organization on a cost-shared basis through an agreement between the organizations based at the Centre and the host Government (with regard to major maintenance projects). The requested amount is calculated on the basis of the current share of the United Nations Office at Vienna, which is 22.67[2] per cent of the total alteration, improvement, and major maintenance requirements.

33.33 The total provision reflects a net decrease of $126,600, or 3.7 per cent, compared with the appropriation for the biennium 2014-2015, owing to the updated assessment for the alteration and improvement and major maintenance works.

33.34 With regard to alteration and improvement, a provision of $2,094,100 would cover the contribution of the United Nations Office at Vienna to the Common Buildings Management Fund based on the agreement between the organizations based at the Vienna International Centre.

33.35 The provision under alteration and improvement can be summarized as follows:

(United States dollars)

(a) Cost-shared improvement of facilities and general infrastructure	2 094 100
Total	**2 094 100**

33.36 As regards major maintenance, a provision of $1,234,900 would cover the contribution of the United Nations Office at Vienna to the Common Fund for Financing Major Repairs and Replacements based on the agreement between the host Government and the organizations based at the Vienna International Centre. The provision under major maintenance can be summarized as follows:

(United States dollars)

(a) Cost-shared major maintenance of facilities and general infrastructure	1 234 900
Total	**1 234 900**

[2] This represents the same level as biennium 2014-2015 and a decrease from the level of 22.843 per cent applied for the biennium 2012-2013. The ratio is calculated on the basis of a composite of the space, staff and workload of the four organizations in determining the respective share of the costs.

4. United Nations Office at Nairobi

Resource requirements (before recosting): $6,444,800

33.37 The total provision of $6,444,800 consists of $2,755,000 for alteration and improvement, with 48.0 per cent being multi-year phased projects, 42.9 per cent new projects and 9.1 per cent being recurrent projects; and $3,689,800 for major maintenance, with 26.0 per cent being multi-year phased projects, 10.6 per cent new projects and 63.4 per cent being recurrent projects.

33.38 The total provision reflects a decrease of $31,500 or 0.5 per cent, compared with the appropriation for the biennium 2014-2015. The decrease reflects further reductions, in line with General Assembly resolution 69/264. Resource requirements ($750,100) are proposed to be redeployed between Alteration and improvement (component A) and Major maintenance (component B) for Nairobi, to better reflect the operational needs for the biennium, with no net impact on the overall level of the proposed provision.

33.39 With regard to alteration and improvement, the provision of $2,755,000 would cover the following:

(United States dollars)

(a) Improvement of facilities and general infrastructure	2 555 000
(b) Improvement to the information and communications technology infrastructure	200 000
Total	**2 755 000**

33.40 With regard to major maintenance, a provision of $3,689,800 would cover work on electrical systems; structural, civil and plumbing maintenance; replacement of fire remedials; fire and portable water upgrades; and oxidation pond rehabilitation. The provision can be summarized as follows:

(United States dollars)

(a) Major maintenance of facilities and general infrastructure	1 753 200
(b) Major maintenance of safety and security installations	1 936 600
Total	**3 689 800**

5. Economic and Social Commission for Asia and the Pacific

Resource requirements (before recosting): $11,622,800

33.41 The total provision of $11,622,800 consists of $10,456,400 for alteration and improvement, with approximately 88.9 per cent being multi-year phased projects and 11.1 per cent being new projects; and $1,166,400 for major maintenance, which are recurrent activities, by nature, but consist of individual new projects for the biennium 2016-2017.

33.42 The total provision reflects a net increase of $8,987,200 compared with the appropriation for the biennium 2014-2015. The increase is due to the proposed start of the initial phase of the seismic mitigation and life-cycle replacement project to remedy the potential failure of the building's structural components under seismic loads ($9,000,000), pursuant to General Assembly resolution 69/274, offset by a marginal reduction ($12,800), in line with General Assembly resolution 69/264. It is proposed that resource requirements ($583,400) be redeployed between Alteration and improvement (component A) and Major maintenance (component B) for the Commission, to better

reflect the operational needs for the biennium, with no net impact on the overall level of the proposed provision.

33.43 With regard to alteration and improvement, a provision of $10,456,400 would cover the following:

(United States dollars)

(a) Proposed seismic mitigation and life-cycle replacement project	9 000 000
(b) Improvement of facilities and general infrastructure	148 000
(c) Improvement to the information and communications technology infrastructure	218 400
(d) Improvement to conference facilities and related infrastructure	440 000
(e) Improvement of safety and security installations	650 000
Total	**10 456 400**

33.44 As regards major maintenance, a provision of $1,166,400 would cover the following repair of building and replacement of major equipment:

(United States dollars)

(a) Major maintenance of facilities and general infrastructure	325 400
(b) Major maintenance of conference facilities and related infrastructure	841 000
Total	**1 166 400**

33.45 With regard to the seismic mitigation and life-cycle replacement project, the requested resources of $9,000,000 for the biennium 2016-2017 relate to the costs for the proposed first phase of the project, which comprise the establishment of a project management team, consultancy costs and the start of construction work to create swing space. The preliminary project plan, as indicated in the report on the strategic capital review (A/69/760, fig. I), was to execute the construction work over multiple bienniums. The indicative timeline is being re-examined to determine whether the project duration can be compressed. Detailed information on the scope, schedule and total cost of the proposed project will be included in the report of the Secretary-General to be presented to the General Assembly for its consideration at the main part of the seventieth session.

6. Economic Commission for Latin America and the Caribbean

Resource requirements (before recosting): $2,528,000

33.46 The total provision of $2,528,000 consists of $1,370,500 for alteration and improvement, with approximately 43.3 per cent being multi-year phased projects, 4.0 per cent being recurrent projects, and 52.7 per cent being new projects; and $1,157,500 for major maintenance, with approximately 53.7 per cent being multi-year phased projects, 10.9 per cent being recurrent projects and 35.5 per cent being new projects.

33.47 The total provision reflects a decrease of $12,400, as compared with the appropriation for the biennium 2014-2015, which relates to further reductions, in line with General Assembly resolution 69/264. It is proposed that resource requirements ($385,800) be redeployed between Alteration and improvement (component A) and Major maintenance (component B) for the Commission, to better reflect the operational needs for the biennium, with no net impact on the overall level of the proposed provision.

33.48 With regard to alteration and improvement, the provision of $1,370,500 would cover the following:

(United States dollars)

(a) Improvement of facilities and general infrastructure	1 259 800
(b) Improvement of facilities for persons with disabilities	55 700
(c) Improvement of safety and security installations	55 000
Total	**1 370 500**

33.49 With regard to major maintenance, a provision of $1,157,500 would cover the maintenance and cleaning of the Main Building façade, the functional retrofitting of the Printing Building, the replacement of the plumbing and sewage infrastructure, and the maintenance of security systems. The provision under major maintenance can be summarized as follows:

(United States dollars)

(a) Major maintenance of facilities and general infrastructure	518 500
(b) Major maintenance of safety and security installations	639 000
Total	**1 157 500**

7. Economic Commission for Africa

Resource requirements (before recosting): $16,891,900

33.50 The total provision of $16,891,900 consists of $15,688,300 for alteration and improvement, with approximately 97.9 per cent being multi-year phased projects and 2.1 per cent being recurrent projects; and $1,203,600 for major maintenance, with 29.1 per cent being recurrent projects and 70.9 per cent being new projects.

33.51 The total provision reflects an increase of $10,601,500, compared with the resources at revised rates for the biennium 2014-2015. The net increase reflects: (a) removal of the non-recurrent requirements relating to the renovation of the Africa Hall Building during the biennium 2014-2015 ($2,126,200); (b) further reductions ($20,300), in line with General Assembly resolution 69/264; (c) additional non-recurrent resources proposed for the renovation of the Africa Hall Building during the biennium 2016-2017 ($12,748,000), pursuant to General Assembly resolution 69/262. It is proposed that resource requirements ($698,900) be redeployed between Alteration and improvement (component A) and Major maintenance (component B) for the Commission, to better reflect the operational needs for the biennium, with no net impact on the overall level of the proposed provision.

33.52 With regard to alteration and improvement, a provision of $15,688,300 would cover the following:

(United States dollars)

(a) Africa Hall renovation project	12 748 000
(b) Improvement of facilities and general infrastructure	1 000 000
(c) Improvement to conference facilities and related infrastructure	1 615 300
(d) Improvement of safety and security installations	325 000
Total	**15 688 300**

33.53 With regard to major maintenance, a provision of $1,203,600 would cover the maintenance of the United Nations Conference Centre building, maintenance of facilities at the subregional offices and security maintenance. The provision under major maintenance can be summarized as follows:

(United States dollars)

(a) Major maintenance of facilities and general infrastructure	953 600
(b) Major maintenance of safety and security installations	250 000
Total	**1 203 600**

8. Economic and Social Commission for Western Asia

Resource requirements (before recosting): $589,400

33.54 The provision of $589,400 is for (a) major maintenance for the security facilities installed under the implementation of the standardized access control project, being a recurrent project ($463,800); and (b) improvements to the physical components of the security systems, including the replacement of four crash barriers ($125,600).

33.55 The total provision reflects a decrease of $5,246,400, as compared with the appropriation for the biennium 2014-2015, which relates to the net effect of: (a) removal of the one-time provision ($5,353,800) for the mitigation measures to strengthen the safety and security of staff at ESCWA in Beirut, approved by the General Assembly in its resolution 68/247 B (sect. VI, para. 3); (b) further reductions ($2,400), in line with General Assembly resolution 69/264; offset by (c) marginal increases to improve other physical components of the security systems ($52,800), together with a full biennial provision for contractual maintenance costs for the standardized access control systems ($57,000), following the expiration of the vendor warranty in March 2014.

33.56 With regard to the mitigation measures to strengthen the premises in Beirut, the Commission is mindful of the recommendation of the Advisory Committee on Administrative and Budgetary Questions in its report (A/68/808, para. 13) that the Secretary-General make every effort to shorten the project timeline without compromising the quality of the construction work and the safety of staff. The Commission is pleased to report that the replacement of the blast window film has been completed ahead of schedule. In regard to the other two stages of the project, namely, the installation of the cable catchment system and the façade retrofit, procurement is well under way and the project is on track to be completed by the end of 2015 within budget. The final expenditures for the project will be reported to the General Assembly at its seventieth session in the context of the second performance report on the programme budget for the biennium 2014-2015.

33.57 The Advisory Committee also recommended that the Secretary-General intensify his engagement with the host country in order to find a lasting solution to the issue of a new permanent location for the Commission's headquarters (see A/68/808, para. 9). In that regard, although there is a strong commitment from the host country to find a solution to the issue of a new permanent location for the Commission's headquarters, the political situation in Lebanon has not allowed for a successful resolution to the issue to date.

B. United Nations enterprise network

Resource requirements (before recosting): $4,151,000

33.58 The enterprise network project, administered by the Office of Information and Communications Technology of the Department of Management, principally comprised the Internet protocol telephony system upgrade project, which will be completed at the end of 2015, since global implementation began in the biennium 2004-2005. The need to support enterprise network infrastructure continues under the purview of the Office, which determines the related resource requirements. Following a review conducted by the Office of Information and Communications Technology of the current state of the network infrastructure and technical requirements in all offices away from Headquarters and at regional commissions, a provision of $4,151,000 is proposed for the biennium 2016-2017 to cover three major requirements, as follows:

(a) An amount of $1,566,600 to replace equipment purchased in the previous biennium that is obsolete and has reached its end of life. Priority funding will be given to ECA and the United Nations Office at Nairobi;

(b) An amount of $2,300,200 for the existing consolidated maintenance contract at United Nations Headquarters in New York, offices away from Headquarters and regional commissions. In the previous bienniums, the Office of Information and Communications Technology expanded the maintenance contract to cover all of the major duty stations and regional commissions. This contract will ensure full coverage of network and telephone equipment and guarantee the same level of service at each duty station. The Headquarters portion of the contract will continue to be funded under section 29 E, Office of Information and Communications Technology;

(c) An amount of $284,200 for maintenance and renewal of checkpoint firewall software in seven duty stations. With the increased reliance on the global network, the Organization must keep its firewalls updated in order to protect the entire network from attacks and data leakage. Since security is only as good as its weakest link, the Office of Information and Communications Technology continues to centrally plan for the maintenance and renewal of checkpoint firewall software at seven duty stations.

33.59 The total provision of $4,151,000 reflects a decrease of $2,374,800, which is attributable to: (a) the outward redeployment of resources in the total amount of $2,311,600, following the completion of the enterprise network project in 2015 to Alteration and improvement (component A) to provide for the increased requirements of upgrades to enterprise storage systems and replacement of servers ($1,138,200) and to Major maintenance (component B) to provide for the increased maintenance costs for the information technology components of the security and safety systems for the United Nations Headquarters complex ($1,173,400) and (b) further reductions in the amount of $63,200, in line with General Assembly resolution 69/264.

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Part XII
Safety and security

Section 34
Safety and security

(Programme 28 of the biennial programme plan for the period 2016-2017)**

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Overview

Table 34.1 **Financial resources, regular budget**

(United States dollars)

Appropriation for 2014-2015	243 751 200
Technical adjustments (removal of non-recurrent requirements and biennial provision of posts)	407 400
New mandates and inter-component changes	139 500
Changes in line with General Assembly resolution 69/264 (further reductions)	(1 263 600)
Changes in line with General Assembly resolution 69/264 (efficiencies)	(273 200)
Total resource change	(989 900)
Proposal of the Secretary-General for 2016-2017[a]	242 761 300

[a] At 2014-2015 revised rates.

Table 34.2 **Post resources, regular budget**

	Number	*Level*
Regular budget		
Approved for the biennium 2014-2015	1 058	1 USG, 1 ASG, 1 D-2, 4 D-1, 7 P-5, 19 P-4, 17 P-3, 7 P-2/1, 8 GS (PL), 170 GS (OL), 308 SS, 515 LL
Proposed for the biennium 2016-2017	1 058	1 USG, 1 ASG, 1 D-2, 4 D-1, 7 P-5, 19 P-4, 17 P-3, 7 P-2/1, 8 GS (PL), 170 GS (OL), 308 SS, 515 LL

Overall orientation

34.1 The Department of Safety and Security is responsible for the implementation of the programme of work under this section. The activities programmed under section 34 fall under programme 28, Safety and security, of the biennial programme plan for the period 2016-2017.

34.2 The purpose of the programme is to provide leadership, operational support and oversight of the United Nations security management system, as established by the General Assembly in its resolution 59/276 and subsequent resolutions.

34.3 The overall objectives of the programme are: (a) to enable United Nations activities by ensuring effective and timely responses to all security-related threats and emergencies; (b) to ensure effective risk mitigation through coordinated security risk management methodology, including a threat and risk assessment mechanism implemented in cooperation with authorities of host countries; and (c) to continue to develop best-practice security policies, standards and operational procedures across the United Nations system, including the appropriate degree of standardization, and to support their implementation and monitor compliance.

34.4 Recognizing that sharing ideas, experiences, opportunities and costs is the only way to reduce vulnerability and maximize resources, the Department of Safety and Security will achieve its mission through an integrated and interdependent organizational approach by working with all the United Nations system agencies, funds and programmes, under the umbrella of the Inter-Agency Security Management Network.

Note: The following abbreviations are used in tables and charts: USG, Under-Secretary-General; ASG, Assistant Secretary-General; GS, General Service; JFA, jointly financed activities; LL, Local level; OL, Other level; PL, Principal level; RB, regular budget; SS, Security Service; XB, extrabudgetary.

34.5 The work of the Department will be implemented under two subprogrammes: security and safety coordination and regional field coordination and support. For the period 2016-2017, the Department will continue to focus on enhancing the coordination of the United Nations security management system; ensuring the continuing formulation and implementation of policies and procedures for the security and safety of United Nations personnel and their eligible dependants, visitors, delegates, premises and assets; and consolidating, harmonizing and promulgating common policies, standards and operational procedures. The Department will continue to support the systematic determination by the United Nations system of the criticality of its programmes, especially in high-risk environments, in order to augment the security risk management approach followed by the United Nations security management system. The Department will continue to improve its security analysis capability and foster Member State collaboration. The Department will continue to lead in coordinating the activities of the United Nations system security and safety network. The Department will continue to examine measures to strengthen both human and financial capacity available in the Department in order to facilitate rapid response to security incidents and emergencies and to enhance crisis management within the United Nations system security and safety network.

Overview of resources

34.6 The overall resources proposed for the biennium 2016-2017 under the regular budget for this section, including the United Nations share in the jointly financed safety and security costs, amount to $242,761,300 (before recosting), reflecting a net decrease of $989,900 (or 0.4 per cent) compared with the appropriation for the biennium 2014-2015. Resource changes result from four factors, namely: (a) technical adjustments relating to the removal of non-recurrent requirements and the biennial provision of new posts approved for establishment in 2015; (b) new mandates and inter-component changes; (c) resource changes in line with General Assembly resolution 69/264 (further reductions); and (d) resource changes in line with General Assembly resolution 69/264 (efficiencies). The proposed reductions will not impact full and effective mandate implementation.

34.7 Resource changes in line with General Assembly resolution 69/264 reflect proposals of freezing recruitment against established posts and reductions in non-post resources that are anticipated in biennium 2016-2017.

34.8 The proposed reductions will be influenced by the future impact of the deployment of Umoja (Foundation and Extension 1) by the end of 2015. As it is too early to determine the specific future impact of Umoja, the proposed reductions, while firm in financial terms, are flexible in operational terms. The flexibility means that the specific composition of the post freezes, and the reorganization of functions and roles as a result of the freezes of posts, will only be determined during the biennium 2016-2017, when the impact of Umoja becomes clearer.

34.9 The distribution of resources is reflected in tables 34.3 to 34.5 below.

Table 34.3 Financial resources by component

(Thousands of United States dollars)

(1) *Regular budget*[a]

			Resource changes								
	2012-2013 expenditure	*2014-2015 appropriation*	*Technical adjustment (non-recurrent, biennial provision of posts)*	*New mandates and inter-component changes*	*Further reductions in line with resolution 69/264*	*Efficiencies in line with resolution 69/264*	*Total*	*Percentage*	*Total before recosting*	*Recosting*	*2016-2017 estimate*
A. Executive direction and management	6 857.6	6 989.4	–	–	(66.0)	–	(66.0)	(0.9)	6 923.4	200.1	7 123.5
B. Programme of work											
1. Security and safety coordination	174 269.7	170 043.2	407.4	139.5	(1 046.4)	–	(499.5)	(0.3)	169 543.7	7 781.8	177 325.5
2. Regional field coordination and support											
Component 1. Regional field operation coordination	50 916.2	52 215.2	–	–	–	–	–	–	52 215.2	2 203.4	54 418.6
Component 2. Field support	7 214.7	6 182.8	–	–	(141.5)	–	(141.5)	(2.3)	6 041.3	147.9	6 189.2
Subtotal — programme of work	**232 400.6**	**228 441.2**	**407.4**	**139.5**	**(1 187.9)**	**–**	**(641.0)**	**(0.3)**	**227 800.2**	**10 133.1**	**237 933.3**
C. Programme support	8 233.1	8 320.6	–	–	(9.7)	(273.2)	(282.9)	(3.4)	8 037.7	309.0	8 346.7
Subtotal, 1	**247 491.4**	**243 751.2**	**407.4**	**139.5**	**(1 263.6)**	**(273.2)**	**(989.9)**	**(0.4)**	**242 761.3**	**10 642.2**	**253 403.5**

(2) *Other assessed*[b]

	2012-2013 expenditure	*2014-2015 estimate*	*2016-2017 estimate*
B. Programme of work			
1. Security and safety coordination	1 456.8	1 748.3	1 806.5
2. Regional field coordination and support			
Component 1. Regional field operation coordination	5 894.5	5 640.6	5 721.4
Subtotal, 2	**7 351.3**	**7 388.9**	**7 527.9**

(3) *Extrabudgetary*[c]

	2012-2013 expenditure	2014-2015 estimate	2016-2017 estimate
B. Programme of work			
1. Security and safety coordination	6 132.2	6 480.7	5 750.3
2. Regional field coordination and support Component 2. Field support	580.3	521.5	515.7
Subtotal, 3	**6 712.5**	**7 002.2**	**6 266.0**
Total	**261 555.2**	**258 142.3**	**267 197.4**

[a] Resources include the United Nations share of jointly financed activities under the established cost-sharing arrangements in the amounts of $61,144,000 for actual expenditure in the biennium 2012-2013, an estimated $62,676,000 for the biennium 2014-2015 and $62,676,000 for the biennium 2016-2017.

[b] Resource requirements financed exclusively by the support account for peacekeeping operations.

[c] Resource requirements financed from extrabudgetary resources derived from programme support income received as reimbursement for support to extrabudgetary administrative structures.

Table 34.4 **Post resources**[a]

	Established regular budget		Temporary						Total	
			Regular budget		Other assessed[b]		Extrabudgetary[c]			
	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017
Professional and higher										
USG	1	1	–	–	–	–	–	–	1	1
ASG	1	1	–	–	–	–	–	–	1	1
D-2	1	1	–	–	–	–	–	–	1	1
D-1	4	4	–	–	–	–	–	–	4	4
P-5	7	7	–	–	1	1	–	–	8	8
P-4/3	36	36	–	–	11	11	–	–	47	47
P-2/1	7	7	–	–	1	1	–	–	8	8
Subtotal	**57**	**57**	**–**	**–**	**13**	**13**	**–**	**–**	**70**	**70**
General Service										
Principal level	8	8	–	–	–	–	–	–	8	8
Other level	170	170	–	–	2	2	14	12	186	184
Subtotal	**178**	**178**	**–**	**–**	**2**	**2**	**14**	**12**	**194**	**192**

	Established regular budget		Temporary						Total	
			Regular budget		Other assessed[b]		Extrabudgetary[c]			
	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017
Other										
Security Service	308	308	–	–	3	3	9	9	320	320
Local level	515	515	–	–	–	–	–	–	515	515
Subtotal	**823**	**823**	**–**	**–**	**3**	**3**	**9**	**9**	**835**	**835**
Total	**1 058**	**1 058**	**–**	**–**	**18**	**18**	**23**	**21**	**1 099**	**1 097**

[a] Requirements exclude posts under the jointly financed activities reflected in table 34.9 below.
[b] Resource requirements financed exclusively by the support account for peacekeeping operations.
[c] Resource requirements financed from extrabudgetary resources derived from programme support income received as reimbursement for support to extrabudgetary administrative structures.

Technical adjustments

34.10 Resource changes of $407,400 under the regular budget reflect the biennial provision of 18 new Local level posts approved for establishment in 2015 in accordance with General Assembly resolution 69/262 ($413,900), in support of safety and security operations for the new office facilities at the Economic Commission for Africa in Addis Ababa, partly offset by the removal of non-recurrent provisions for these new posts in the amount of $6,500.

New mandates

34.11 Resources in the amount of $139,500 reflect provisions in support of the renovation of the Africa Hall Building, in line with General Assembly resolution 69/262.

Resource changes in line with General Assembly resolution 69/264 (Further reductions)

34.12 Resource changes of $1,263,600 are proposed in line with General Assembly resolution 69/264, under posts ($578,200) and non-posts ($685,400). The decrease of $578,200 under posts reflects proposals of freezing recruitment against established posts in subprogramme 1, Security and safety coordination ($440,700) and component 2 of subprogramme 2, Field Support ($137,500).

34.13 The proposed decrease of $685,400 under non-post resources mainly relates to travel of staff that takes into account the anticipated impact of the approved standards of accommodation for air travel and reductions under other staff costs and furniture and equipment that takes into account further efficiencies the Department plans to bring about in biennium 2016-2017.

Resource changes in line with General Assembly resolution 69/264 (Efficiencies)

34.14 Resource changes of $273,200 are proposed in line with General Assembly resolution 69/264, under posts ($122,600) and non-posts ($150,600). The decrease of $122,600 under posts in the programme support component reflects proposals of freezing recruitment against established posts ($122,600).

34.15 The proposed decrease of $150,600 under non-post resources relates to general operating expenses and supplies and materials that takes into account further efficiencies that the Department plans to bring about in the biennium 2016-2017.

Other assessed and extrabudgetary resources

34.16 For the biennium 2016-2017, regular budget and jointly financed resources, under this section, will be supplemented by other assessed and extrabudgetary resources in an estimated amount of $13,793,900. This comprises: (a) reimbursement for security and safety services rendered to funds and programmes ($5,750,300), including the funding of 21 posts; (b) resources from the support account for peacekeeping operations ($7,527,900), including the continued funding of 18 posts; and (c) income from trust funds ($515,700). The decrease of $597,200, as compared with the biennium 2014-2015, is primarily due to the reduced projected income for security and safety related services at the United Nations at Geneva rendered to funds and programmes owing to the anticipated reduction of service requirements.

Jointly financed activities

34.17 Given the dual responsibility of the Department to provide (a) for the safety and security of staff, delegates and visitors at the main locations of the United Nations, as well as the premises; and (b) for the safety and security of the United Nations system operations in the field, the activities of the Department are financed both from the regular budget and on a cost-sharing basis with other organizations covered by the security management system in the field.

34.18 As explained below, the functions and activities in the Department that are funded through the jointly financed resources are those under:

(a) Division of Regional Operations (subprogramme 2, component 1);

(b) Field Security Operations (subprogramme 2, component 1);

(c) Field Support Service (subprogramme 2, component 2), including the Training and Development Section, the Critical-Incident Stress Management Unit (excluding the Crisis Management Information Support Section, which is funded through the regular budget).

34.19 The system-wide services that the Department provides with regard to jointly financed activities include policy, oversight, best practice, training and advice. These services do not necessarily cover costs related to specific operational and physical security. Accordingly, agencies, funds and programmes require their own internal security budgets.

34.20 With regard to the jointly financed component, the financial responsibility of each participating organization is based on its proportional share of the personnel operating in the field. The percentage share of each organization in financing those costs in the current biennium was established by the United Nations System Chief Executives Board for Coordination (CEB) at its first regular session of 2005 on the basis of updated data on the number of United Nations system personnel in the field. Following the recommendation of the General Assembly in its resolution 61/263 with regard to achieving a workable cost-sharing arrangement, the High-level Committee on Management agreed that field-related security costs would be apportioned on the basis of the actual percentage of staff, based on data resulting from CEB. Subsequently, the Secretary-General outlined the revised cost-sharing arrangements and the shares of the participating organizations in the cost of the United Nations security management system (A/62/641).

34.21 In addition to the jointly financed field activities described above, separate local cost-sharing arrangements govern the provision of security and safety services at the United Nations Office at Vienna. The cost-sharing arrangements in Vienna are based on a formula agreed upon by the four organizations located at the Vienna International Centre.

34.22 The gross budget of the jointly financed activities of the Department of Safety and Security amounts to $266,072,800, before recosting. This is presented in more detail below in the section on the programme of work and summarized in table 34.8 below.

34.23 The consolidated or full budget for the provision of all safety and security, worldwide, at United Nations Headquarters, offices away from Headquarters, regional commissions and in the field, as well as security coordination and support services to the system-wide participating entities of the United Nations security management system are set out in annex IV to the present report, entitled "Indicative full budget of Department of Safety and Security activities". The analysis in annex IV provides a holistic view of the consolidated resources employed by the Department of Safety and Security to provide global services. For the biennium 2016-2017, these consolidated resources are estimated in the amount of $446,158,100 before recosting, reflecting a net decrease of $989,900 (0.2 per cent) compared with the appropriation for the biennium 2014-2015. A summary is provided in table 34.5 below.

1. Full budget (consolidated) resources

Table 34.5 **Resource requirements by component and source of funds**

(Thousands of United States dollars)

(1) *Full budget (regular budget and jointly financed activities)*

	2012-2013 expenditure	*2014-2015 estimate*	*Resource changes*		*Total before recosting*	*Recosting*	*2016-2017 estimate*
			Amount	*Percentage*			
A. Executive direction and management	6 857.6	6 989.4	(66.0)	(0.9)	6 923.4	200.1	7 123.5
B. Programme of work							
Regular budget activities[a]	171 256.6	165 765.2	(641.0)	(0.4)	165 124.2	7 757.2	172 881.4
Jointly financed activities	251 255.9	266 072.8	–	–	266 072.8	11 298.9	277 371.7
C. Programme support							
Regular budget activities	8 233.1	8 320.6	(282.9)	(3.4)	8 037.7	309.0	8 346.7
Subtotal	**437 603.2**	**447 148.0**	**(989.9)**	**(0.2)**	**446 158.1**	**19 565.2**	**465 723.3**

(2) *Other assessed*

	2012-2013 expenditure	*2014-2015 estimate*	*2016-2017 estimate*
Peacekeeping operations activities:			
Support account for peacekeeping operations	7 351.3	7 388.9	7 527.9
Subtotal	**7 351.3**	**7 388.9**	**7 527.9**

(3) *Extrabudgetary*

	2012-2013 expenditure	2014-2015 estimate	2016-2017 estimate
(a) Services in support of:			
Support to extrabudgetary administrative structures	6 132.2	6 480.7	5 750.3
(b) Substantive activities:			
Trust Fund for Security of Staff Members of the United Nations System	580.3	521.5	515.7
Subtotal	**6 712.5**	**7 002.2**	**6 266.0**
Total	**451 667.0**	**461 539.1**	**479 517.2**

[a] Resources exclude the United Nations share of jointly financed activities under the established cost-sharing arrangements in the amounts of: $61,144,000 actual expenditure in the biennium 2012-2013, and an estimated $62,676,000 for the biennium 2014-2015 and $62,676,000 for the biennium 2016-2017.

2. Regular budget resource requirements

Table 34.6 **Resource requirements by component and source of funds**

(Thousands of United States dollars)

(1) *Regular budget*[a]

	2012-2013 expenditure	2014-2015 appropriation	Resource changes		Total before recosting	Recosting	2016-2017 estimate
			Amount	Percentage			
A. Executive direction and management	6 857.6	6 989.4	(66.0)	(0.9)	6 923.4	200.1	7 123.5
B. Programme of work							
1. Security and safety coordination	174 269.7	170 043.2	(499.5)	(0.3)	169 543.7	7 781.8	177 325.5
2. Regional field coordination and support							
Component 1. Regional field operation coordination	50 916.2	52 215.2	–	–	52 215.2	2 203.4	54 418.6
Component 2. Field support	7 214.7	6 182.8	(141.5)	(2.3)	6 041.3	147.9	6 189.2
C. Programme support	8 233.1	8 320.6	(282.9)	(3.4)	8 037.7	309.0	8 346.7
Subtotal	**247 491.4**	**243 751.2**	**(989.9)**	**(0.4)**	**242 761.3**	**10 642.2**	**253 403.5**

(2) *Other assessed*

Source of funds	2012-2013 expenditure	2014-2015 estimate	2016-2017 estimate
Peacekeeping operations activities:			
Support account for peacekeeping operations	1 456.8	1 748.3	1 806.5
Subtotal	**1 456.8**	**1 748.3**	**1 806.5**

(3) *Extrabudgetary*

Source of funds	2012-2013 expenditure	2014-2015 estimate	2016-2017 estimate
Services in support of:			
Support to extrabudgetary administrative structures	6 132.2	6 480.7	5 750.3
Subtotal	**6 132.2**	**6 480.7**	**5 750.3**
Total	**255 080.4**	**251 980.2**	**260 960.3**

[a] Resources include the United Nations share of jointly financed activities under the established cost-sharing arrangements in the amounts of $61,144,000 for actual expenditure in the biennium 2012-2013, an estimated $62,676,000 for the biennium 2014-2015 and for the biennium 2016-2017.

Table 34.7 **Post resources (regular budget)**[a]

	Established regular budget		Temporary						Total	
			Regular budget		Other assessed[b]		Extrabudgetary[c]			
Category	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017
Professional and higher										
USG	1	1	–	–	–	–	–	–	1	1
ASG	1	1	–	–	–	–	–	–	1	1
D-2	1	1	–	–	–	–	–	–	1	1
D-1	4	4	–	–	–	–	–	–	4	4
P-5	7	7	–	–	–	–	–	–	7	7
P-4/3	36	36	–	–	1	1	–	–	37	37
P-2/1	7	7	–	–	1	1	–	–	8	8
Subtotal	**57**	**57**	**–**	**–**	**2**	**2**	**–**	**–**	**59**	**59**
General Service										
Principal level	8	8	–	–	–	–	–	–	8	8
Other level	170	170	–	–	–	–	14	12	184	182
Subtotal	**178**	**178**	**–**	**–**	**–**	**–**	**14**	**12**	**192**	**190**

Category	Established regular budget		Temporary						Total	
			Regular budget		Other assessed[b]		Extrabudgetary[c]			
	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017
Other										
Security Service	308	308	–	–	3	3	9	9	320	320
Local level	515	515	–	–	–	–	–	–	515	515
Subtotal	**823**	**823**	**–**	**–**	**3**	**3**	**9**	**9**	**835**	**835**
Total	**1 058**	**1 058**	**–**	**–**	**5**	**5**	**23**	**21**	**1 086**	**1 084**

[a] Requirements exclude posts under the jointly financed activities reflected in table 34.9 below.
[b] Resource requirements financed exclusively by the support account for peacekeeping operations.
[c] Resource requirements financed from extrabudgetary resources derived from programme support income received as reimbursement for support to extrabudgetary administrative structures.

3. Jointly financed activities

Table 34.8 Resource requirements by component and source of funds

(Thousands of United States dollars)

(1) *Gross budget (jointly financed activities)*

	2012-2013 expenditure	2014-2015 appropriation	Resource changes		Total before recosting	Recosting	2016-2017 estimate
			Amount	Percentage			
A. Programme of work							
1. Security and safety coordination	29 839.3	30 745.3	–	–	30 745.3	408.4	31 153.7
2. Regional field coordination and support							
Component 1. Regional field operation coordination	209 079.7	221 086.7	–	–	221 086.7	10 379.7	231 466.4
Component 2. Field support	12 336.9	14 240.8	–	–	14 240.8	510.8	14 751.6
Subtotal, programme of work	**251 255.9**	**266 072.8**	**–**	**–**	**266 072.8**	**11 298.9**	**277 371.7**

(2) *Other assessed*

	2012-2013 expenditure	2014-2015 estimate	2016-2017 estimate
Peacekeeping operations activities:			
Support account for peacekeeping operations	5 894.5	5 640.6	5 721.4
Subtotal	**5 894.5**	**5 640.6**	**5 721.4**

(3) *Extrabudgetary*

	2012-2013 expenditure	*2014-2015 estimate*	*2016-2017 estimate*
Substantive activities:			
Trust Fund for Security of Staff Members of the United Nations System	580.3	521.5	515.7
Subtotal	**580.3**	**521.5**	**515.7**
Total	**257 730.7**	**272 234.9**	**283 608.8**

Table 34.9 **Post resources (jointly financed activities)**

	Established jointly financed		*Temporary*						*Total*	
			Jointly financed		*Other assessed*[a]		*Extrabudgetary*			
	2014-2015	*2016-2017*	*2014-2015*	*2016-2017*	*2014-2015*	*2016-2017*	*2014-2015*	*2016-2017*	*2014-2015*	*2016-2017*
Professional and higher										
D-2	1	1	–	–	–	–	–	–	1	1
D-1	7	9	–	–	–	–	–	–	7	9
P-5	30	28	–	–	1	1	–	–	31	29
P-4/3	312	312	–	–	10	10	–	–	322	322
P-2/1	14	14	–	–	–	–	–	–	14	14
Subtotal	**364**	**364**	**–**	**–**	**11**	**11**	**–**	**–**	**375**	**375**
General Service										
Principal level	4	4	–	–	–	–	–	–	4	4
Other level	26	26	–	–	2	2	–	–	28	28
Subtotal	**30**	**30**	**–**	**–**	**2**	**2**	**–**	**–**	**32**	**32**
Other										
Security Service	153	153	–	–	–	–	–	–	153	153
Local level	410	410	–	–	–	–	–	–	410	410
Subtotal	**563**	**563**	**–**	**–**	**–**	**–**	**–**	**–**	**563**	**563**
Total	**957**	**957**	**–**	**–**	**13**	**13**	**–**	**–**	**970**	**970**

[a] Resource requirements financed exclusively by the support account for peacekeeping operations.

Other information

34.24 Pursuant to General Assembly resolution 58/269, resources were identified within the available capacity in the Professional and General Service categories for the conduct of monitoring and evaluation, during the biennium 2016-2017, equivalent to $1,653,800, funded from the regular budget, comprising two P-4 posts, two P-3 posts and two General Service (Other level) posts in the Compliance, Evaluation and Monitoring Unit and related support costs, reflected under executive direction and management.

A. Executive direction and management

Resource requirements (before recosting): $6,923,400

34.25 The Under-Secretary-General for Safety and Security and the immediate office of the Under-Secretary-General are responsible for the management of the United Nations security system. In view of the extensive system-wide responsibilities and the external contacts that the Under-Secretary-General needs to maintain, the size and scope of the Department and the need to ensure continuity during periods of leave or other absences, the Under-Secretary-General is assisted by a deputy at the Assistant Secretary-General level whose primary responsibilities are the internal functioning and day-to-day management of the Department.

34.26 In managing the Department, the Under-Secretary-General is currently supported by the Policy and Compliance Service. The Service is responsible for developing, promulgating and advising on common policies and standards in all parts of the security management system, the drafting and updating of the United Nations Security Management System Security Policy Manual and Security Management Operations Manual, the drafting of reports for legislative bodies, acting as the secretariat for the Inter-Agency Security Management Networks. The Service also analyses United Nations security management system policy requirements based on lessons learned and best practices.

34.27 Following an internal structural review of the Department, the evaluation capacity will be enhanced while the compliance functions will be undertaken through documentary reviews and in-country evaluations mechanisms, which are to be conducted by the Division of Regional Operations and the Chief Security Advisers (or Security Advisers), respectively. Through the Compliance Evaluation and Monitoring Unit, the Service will evaluate the implementation of security policies, procedures and arrangements through impact and thematic evaluations. While impact evaluations will assess the effectiveness of the United Nations security management system security arrangements at the country level, thematic evaluations will assess specific themes, processes and approaches in the United Nations security management system technical work. The Service will also provide methodological support to compliance reviews and in-country evaluations and will continue following up the implementation of recommendations.

Table 34.10 **Objectives for the biennium, expected accomplishments, indicators of achievement and performance measures**

Objective of the Organization: To ensure full implementation of legislative mandates and compliance with United Nations policies and procedures with respect to the management of staff and financial resources; and to ensure the promulgation of and to strengthen the compliance with policies and procedures relating to the security and safety of personnel, premises and assets within the United Nations security management system

Expected accomplishments of the Secretariat	*Indicators of achievement*	*Performance measures*			
			2016-2017	*2014-2015*	*2012-2013*
(a) Enhanced coordination and integration of policies and procedures within the United Nations security management system	Increased percentage of developed and revised common policies, procedures and operational standards and maintenance thereof [Percentage]	Target	100	100	100
		Estimate		100	90
		Actual			95

Expected accomplishments of the Secretariat	*Indicators of achievement*		*Performance measures*		
			2016-2017	*2014-2015*	*2012-2013*
(b) Improved effectiveness of the security arrangements implemented by United Nations security management system organizations	(i) Increased satisfaction level among assessed United Nations security management system organizations regarding the usefulness of findings and applicability of recommendations [Percentage]	Target	100	–	–
		Estimate		–	–
		Actual			–
	(ii) Timely issuance and distribution of substantive reports with assessment results and recommendations [Percentage]	Target	100	–	–
		Estimate		–	–
		Actual			–

External factors

34.28 The subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that: (a) there will be no unforeseen situations originating from events deemed to be force majeure; (b) suitable female candidates and candidates from unrepresented and underrepresented Member States are available; and (c) all stakeholders, including the United Nations agencies, funds and programmes, are supportive of the Department's efforts and extend to it full cooperation in attaining the programme objectives, including compliance with policies and procedures relating to the security and safety of personnel, premises and assets of the United Nations security management system.

Outputs

34.29 During the biennium 2016-2017, the following outputs will be delivered (regular budget):

(a) Servicing of intergovernmental and expert bodies:

(i) General Assembly:

a. Substantive servicing of meetings: meetings and/or informal consultations (10);

b. Parliamentary documentation: reports to the General Assembly on the functioning of the Security Management System, including the annual report on the safety and security of humanitarian personnel and protection of United Nations system personnel; annual lessons learned and best practices reports; any other ad hoc reports as required (2);

(ii) Security Council: substantive servicing of meetings: meetings and/or informal consultations (6);

(iii) Committee for Programme and Coordination: substantive servicing of meetings: meetings and/or informal consultations (1);

(iv) Advisory Committee on Administrative and Budgetary Questions: substantive servicing of meetings: meetings and/or informal consultations (5);

(b) Other substantive activities:

(i) Impact and thematic evaluations of the security programme and activities (10); preparation and distribution of substantive reports; compliance assessment satisfaction

surveys; monitoring and reporting on the progress towards the implementation of recommendations; methodological support to in-country evaluations activities;

(ii) Identification of best practices and lessons learned; dissemination and sharing of best practices through internal annual reports (2);

(iii) Promotion of legal instruments: development, consolidation, harmonization and promulgation to all duty stations of essential security policies, procedures and operational standards of the United Nations security management system, as well as best practices (1); establishment and maintenance of a centrally directed recruitment selection and career development system for security and safety personnel (1);

(iv) Documentation for inter-agency meetings: contribution to activities of CEB and its subsidiary machinery on safety and security issues; coordination of activities of the United Nations Security Management Network; organization of meetings of the Network; production and distribution of reports of the Network and the High-level Committee on Management reports (6);

(c) Technical cooperation: training courses, seminars and workshops: facilitation of gender mainstreaming into all functional areas of the Department.

34.30 The distribution of resources for executive direction and management is reflected in table 34.11 below.

Table 34.11 **Regular budget resource requirements: executive direction and management**

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
Regular budget				
Post	5 974.4	5 974.4	19	19
Non-post	1 015.0	949.0	–	–
Total	**6 989.4**	**6 923.4**	**19**	**19**

34.31 Regular budget resources in the amount of $5,974,400 would provide for the continued financing of 19 posts (1 USG, 1 ASG, 1 D-1, 1 P-5, 4 P-4, 4 P-3, 1 P-2, 1 General Service (Principal level) and 5 General Service (Other level)).

34.32 Non-post requirements amounting to $949,000 would provide for consultants, travel of staff, general operating expenses and supplies and materials. The decrease of $66,000 under travel of staff takes into account the anticipated impact of the approved standards of accommodation for air travel.

B. Programme of work

34.33 The distribution of the regular budget and the gross budget of jointly financed activities by component is reflected in tables 34.12 and 34.13 below, respectively.

1. Regular budget resource requirements

Table 34.12 **Resource requirements by subprogramme**[a]

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
A. Programme of work				
1. Security and safety coordination	170 043.2	169 543.7	1 014	1 014
2. Regional field coordination and support				
Component 1. Regional field operation coordination	52 215.2	52 215.2	–	–
Component 2. Field support	6 182.8	6 041.3	9	9
Subtotal	**228 441.2**	**227 800.2**	**1 023**	**1 023**
B. Other assessed	1 748.3	1 806.5	5	5
C. Extrabudgetary	6 480.7	5 750.3	23	21
Total	**236 670.2**	**235 357.0**	**1 051**	**1 049**

[a] Resources include the United Nations share of jointly financed activities under the established cost-sharing arrangements in the amounts of: estimated $62,676,000 for the biennium 2014-2015 and $62,676,000 for the biennium 2016-2017.

2. Gross budget of jointly financed activities

Table 34.13 **Resource requirements by subprogramme**

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
A. Programme of work				
1. Security and safety coordination	30 745.3	30 745.3	158	158
2. Regional field coordination and support				
Component 1. Regional field operation coordination	221 086.7	221 086.7	766	766
Component 2. Field support	14 240.8	14 240.8	33	33
Subtotal	**266 072.8**	**266 072.8**	**957**	**957**
B. Other assessed	5 640.6	5 721.4	13	13
C. Extrabudgetary	521.5	515.7	–	–
Total	**272 234.9**	**272 309.9**	**970**	**970**

Subprogramme 1
Security and safety coordination

Gross jointly financed budget resource requirements (before recosting): $30,745,300

Comprising: *(a)* *Regular budget share of jointly financed activities: $7,011,600*

(b) *Share of other United Nations system agencies, funds and programmes in jointly financed activities: $23,733,700*

Regular budget resource requirements (before recosting): $169,543,700

34.34 Substantive responsibility for the subprogramme is vested in the Division of Headquarters Security and Safety Services, in conjunction with the Security and Safety Services at other headquarters locations and at the regional commissions. The subprogramme will be implemented in accordance with the strategy detailed under programme 28 of the biennial programme plan for the period 2016-2017. The Division provides strategic direction and management for the Security and Safety Services located at the United Nations offices away from Headquarters and regional commissions. The Division provides policy direction, operational guidance and technical supervision to the Security and Safety Services, including but not limited to security and safety risk management, threat and risk assessment, physical security, recruitment, training and related oversight of security and safety projects. The Division also acts as the focal point for advice to, and consultation with, other United Nations departments, funds, programmes, specialized agencies and other organizations regarding all issues affecting premises security and security and safety services. The Division is responsible for managing the protection of the senior officials of the Organization, which is undertaken by the Protection Coordination Unit, in a systematic and coordinated manner.

34.35 For the biennium 2016-2017, it is proposed to establish a Physical Security Unit from within existing post resources in the Office of the Director of the Division of Headquarters Security and Safety Services to provide coordination and strategic assistance related to physical security of United Nations premises worldwide. The Division currently provides physical security advice, guidance and assistance to United Nations system-wide entities located worldwide. Some of these locations present challenging scenarios in terms of physical security planning and design because of potentially elevated risk levels, high numbers of staff and/or widespread geographical deployment of staff.

34.36 In its resolution 61/263, the General Assembly recommended that the lessons learned from Headquarters locations should be transferred to other locations and across the United Nations system. The proposed Physical Security Unit would enhance knowledge management and information-sharing. Experience would be captured, organized and disseminated so as to provide standards for physical security planning and implementation of mitigations measures elsewhere. It is of key importance that the Department provide a comprehensive set of parameters for physical security assessment, planning and design to the designated officials at United Nations premises. In this regard, a dedicated unit would strengthen existing arrangements and serve as a centre of excellence. The unit would manage system-wide capacity to deploy an operational component that can provide specific assessments and assist in the implementation of proposed physical security measures, as required. Furthermore, it is noted that the need for a more organized and coherent approach to physical security was defined as a global priority in the report of the Secretary-General on the safety and security of humanitarian personnel (A/68/489, para. 81). The General Assembly, in its resolution 68/101, requested the Secretary-General to continue the ongoing assessment of the United Nations premises and physical security worldwide.

34.37 The proposed Physical Security Unit would consist of four posts (1 P-5, 1 P-4, 1 P-3 and 1 General Service (Other level)). The Unit would be supervised by the existing Chief of the Coordination

Protection Unit (P-5) in the Division of Headquarters Security and Safety Services, who would perform this supervisory function in conjunction with his or her existing roles and responsibilities. Two Physical Security Specialist posts (1 P-4 and 1 P-3) would provide physical security advice, guidance and assistance to field duty stations and would continue to be funded from jointly financed resources under subprogramme 2, component 1, Field Security Operations. The Unit would be supported by an existing Staff Assistant (1 General Service Other level) from the Division of Headquarters Security and Safety Services.

Table 34.14 **Objectives for the biennium, expected accomplishments, indicators of achievement and performance measures**

Objective of the Organization: To provide a secure and safe environment for staff members, delegates, visiting dignitaries and other visitors on United Nations headquarters premises and regional commissions, and to prevent damage to United Nations property and facilities

			Performance measures		
Expected accomplishments of the Secretariat	*Indicators of achievement*		*2016-2017*	*2014-2015*	*2012-2013*
(a) A safe and secure environment for staff, delegates and visitors at United Nations headquarters locations and regional commissions	(i) Increased percentage of elements of minimum operating security standards achieved at United Nations headquarters locations and regional commissions	Target	98	97	100
		Estimate		97	96
		Actual			98
	[Percentage]				
	(ii) Decreased number of unauthorized entries to United Nations premises	Target	10	0	0
		Estimate		18	0
		Actual			19
	(iii) Reduced number of safety-related claims received	Target	60	60	30
		Estimate		65	65
		Actual			8
(b) Systematic and coordinated delivery of protective services for senior United Nations officials	Increased number of instances of travel reviewed and personal protection needs assessed for senior United Nations officials	Target	5 800	–	–
		Estimate		–	–
		Actual			–
(c) Improved planning and preparedness for emergencies and crisis situations at United Nations headquarters locations and regional commissions	All updates and testing of crisis, evacuation and contingency plans as required by the administrative phase at the duty station are fully complied with at all duty stations	Target	100	100	100
		Estimate		100	100
		Actual			96
	[Percentage]				

External factors

34.38 The subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that: (a) all stakeholders are supportive of the Department's efforts and extend to it full cooperation in attaining the programme objective, including compliance with policies and procedures relating to the security and safety of staff members of the United Nations system, associated personnel, eligible dependants and facilities; (b) there are no unexpected events deemed to be force majeure; and (c) delegates, staff and visitors comply with and meet security, safety and fire regulations.

Outputs

34.39 During the biennium 2016-2017, the following outputs will be delivered (regular budget, other assessed and extrabudgetary, unless otherwise stated):

(a) Security services related to office and conference facilities: screen persons, vehicles and packages entering and leaving the premises; operate and maintain the pass and identification badge system; confiscate out-of-date grounds passes; screen for concealed weapons; provide security coverage of meetings, receptions and special events; coordinate and implement security arrangements for special events organized or sponsored by United Nations System organizations at locations and venues away from their premises; conduct security training programmes; raise and lower flags of Member States of the United Nations;

(b) Services related to garage facilities: screen vehicles for access authority; inspect delivery vehicles; inspect vehicles for explosive ordnance;

(c) Personal protection services: provide security to United Nations senior officials and other dignitaries; coordinate VIP movements with host-country security/law enforcement agencies;

(d) Special services: conduct investigations, liaise with host-country law enforcement agencies; prepare security threat assessments and monitor the security situation, including crime patterns and terrorist threats;

(e) Pass and identification services: issue United Nations grounds passes and maintain related records;

(f) Physical security services: conduct physical security assessments at United Nations premises; provide expert advice, assistance and written guidance to the United Nations System to plan, design and implement physical security measures, including physical barriers, automated access control systems and electronic security systems (including alarms, intrusion detection and closed-circuit television); provide specifications for physical security equipment and other features; provide an information-sharing platform and network for physical security professionals; provide reference materials and training programmes for security staff;

(g) Explosive detection services: maintain explosive detection capability at vehicle delivery access points and areas where conferences, meetings and special events are held; screen all incoming mail and parcels;

(h) Emergency response services and crisis management: maintain a 24-hour-a-day, 7-day-a-week security control centre to monitor all security systems; maintain an incident command system and crisis management plan; respond to all serious incidents in United Nations offices and premises at Headquarters and other main locations; provide counter-surveillance detection measures in the vicinity of all United Nations offices and premises; conduct mobile and foot patrols, as necessary; collate and maintain updated emergency contact information; assist in all aspects of emergency preparedness and evacuation planning and execution for on-site and off-site facilities; standardize security procedures;

(i) Safety services: inspect United Nations premises, including elevators, escalators and machinery rooms, for safety and fire hazards; conduct safety training programmes for security officers, fire officials, industrial shop workers and guides; coordinate and conduct fire drills and safety engineering surveys; issue safety reports and recommendations; monitor and inspect fire and water alarms and practice evacuations of United Nations premises; enforce the usage of safety equipment by staff and contractual workers; conduct general safety inspections of facilities and premises; process safety-related claims;

(j) Inspection services: inspect areas during bomb threats; respond to all types of alarms and emergencies; investigate motor vehicle accidents, compensation cases, accidents involving visitors and staff members, illnesses involving visitors and damage to personal and United Nations property;

(k) Training services (regular budget and other assessed): maintain high professional standards of instruction by ensuring that the security staff are well trained in basic security functions and are cross-trained in technical and specialized skills; provide training support services at Headquarters and other main locations, in conjunction with the Training and Development Section, to ensure system-wide consistency in training standards.

34.40 The distribution of regular budget resources for subprogramme 1 is reflected in table 34.15 below.

Table 34.15 **Regular budget resource requirements: subprogramme 1 (security and safety coordination)**

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
A. Regular budget[a]				
Post	138 652.0	138 625.2	1 014	1 014
Non-post	31 391.2	30 918.5	–	–
Subtotal	**170 043.2**	**169 543.7**	**1 014**	**1 014**
B. Other assessed	1 748.3	1 806.5	5	5
C. Extrabudgetary	6 480.7	5 750.3	23	21
Total	**178 272.2**	**177 100.5**	**1 042**	**1 040**

[a] Resource requirements include the United Nations share of jointly financed activities in the amount of $7,011,600 and $7,011,600 for the biennium 2014-2015 and the biennium 2016-2017, respectively, relating to the Security and Safety Service, Vienna.

34.41 The amount of $138,625,200, reflecting a decrease of $26,800, would provide for the partial financing of 1,014 established posts (1 D-2, 2 D-1, 3 P-5, 10 P-4, 11 P-3, 4 P-2, 5 General Service (Principal level), 155 General Service (Other level), 308 Security Service and 515 Local level). The net decrease of $26,800 is due to freezing recruitment against a number of posts ($440,700), partly offset by the biennial provision for 18 new Security Officers (Local level) in the Safety and Security Service in the Economic Commission for Africa, established in 2015, in line with General Assembly resolution 69/262, to support safety and security operations for the new office facilities ($413,900).

34.42 Non-post requirements amounting to $30,918,500 would provide for general temporary assistance and overtime for security coverage during periods of peak workload, travel requirements for the close protection unit to provide security protection detail for senior officials, travel of staff to visit offices away from Headquarters, contractual services, general operating expenses, supplies and materials, furniture and equipment and the United Nations share of the cost of the Security and Safety Service in Vienna, financed under the established cost-sharing agreement with other organizations based at the Vienna International Centre, as shown in table 34.16. The net reduction of $472,700 reflects the combined effect of the following: (i) reductions under travel of staff ($185,100) that takes into account the anticipated impact of the approved standards of accommodation for air travel; (ii) further reductions anticipated in the biennium 2016-2017, in line with General Assembly resolution 69/264 ($420,600); (iii) the removal of non-recurrent provisions for new posts approved in the Safety and Security Service in the Economic Commission for Africa

for the biennium 2014-2015 ($6,500); partially offset by (iv) an increase ($139,500) under other staff costs for the provision of physical security services during the renovation of the Africa Hall Building beginning in 2017, in line with General Assembly in resolution 69/262.

34.43 The regular budget resources would be complemented by other assessed and extrabudgetary funding of $7,556,800, reflecting a decrease of $672,200, derived from programme support income received as reimbursement for services provided by the central administration to extrabudgetary activities, funds and programmes ($5,750,300), as well as from the support account for peacekeeping operations ($1,806,500). Those resources would provide funding for 21 extrabudgetary and 5 other assessed posts, other staff costs, consultants, contractual services and other operating expenses to supplement activities, financed from the regular budget. The funding contributes to a coherent, effective and timely response to all security-related threats and other emergencies, to provide risk mitigation and to ensure high quality best practice security policies, standards and operational procedures. The decrease of $672,200, as compared with the biennium 2014-2015, is primarily due to reduced projected income for security and safety related services provided at the United Nations Office at Geneva rendered to funds and programmes due to anticipated reduced requirements for such services.

34.44 The distribution of jointly financed resources for subprogramme 1 is reflected in table 34.16 below.

Table 34.16 **Jointly financed (Vienna cost share) resource requirements: (gross budget of the Security and Safety Service, Vienna, under subprogramme 1)**

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
Gross budget[a]				
Post	27 181.6	27 181.6	158	158
Non-post	3 563.7	3 563.7	–	–
Total	**30 745.3**	**30 745.3**	**158**	**158**

[a] The United Nations share of the jointly financed activities amounts to $7,011,600 and $7,011,600 for the biennium 2014-2015 and the biennium 2016-2017, respectively.

34.45 The gross budget resources in the amount of $27,181,600 would provide for the financing of 158 posts (1 P-5, 1 P-4, 1 P-2/1, 2 General Service (Principal level) and 153 Security Service).

34.46 Non-post requirements amounting to $3,563,700 would provide for general temporary assistance ($328,800) and overtime ($1,211,400), travel of staff ($17,200), various contractual services ($398,300), rental and maintenance of furniture and equipment and miscellaneous services ($1,111,300), cleaning and repair of uniforms, communications costs, security-related supplies ($361,800), replacement of the security and safety equipment ($129,600) and grants and contributions ($5,300).

Subprogramme 2
Regional field coordination and support

Component 1
Regional field operation coordination

Gross jointly financed budget resource requirements (before recosting): $221,086,700

Comprising: *(a)* *Regular budget share of jointly financed activities: $52,215,200*

(b) *Share of other United Nations system agencies, funds and programmes in jointly financed activities: $168,871,500*

Regular budget resource requirements (before recosting): $52,215,200

34.47 Substantive responsibility for subprogramme 2, component 1, is vested in the Division of Regional Operations. The subprogramme will be implemented in accordance with the strategy detailed under programme 28 of the biennial programme plan for the period 2016-2017. The Division, through its regional desks, is responsible for daily coordination of security operations conducted by the Department of Safety and Security field offices and staff worldwide in over 158 countries, constituting some 660 designated and differentiated security areas, for necessary prevention, preparedness and response to all security incidents in the field, for mobilizing resources and providing assistance to the field as necessary to support the designated officials in the performance of their functions and for coordinating crisis management activities in contingency situations.

34.48 The responsibility of providing substantive and analytical support to the field activities of the Department of Safety and Security rests with the Division of Regional Operations. Accordingly, the Division is divided into units and desks, including the Threat and Risk Assessment Unit, which was established in accordance with resolution 59/276, section XI, paragraphs 33 to 39, which acts as the primary tool throughout the United Nations system for identifying emerging threats, determining areas of vulnerability and developing mitigating strategies and measures.

34.49 Field security activities of the United Nations are governed by policies, procedures and programmes developed in full collaboration with the Inter-Agency Security Management Network. The Network, which comprises senior managers who have oversight for security within their respective entities, is responsible for reviewing policies and procedures and monitoring their implementation. It makes recommendations to High-level Committee on Management of the Chief Executives Board for Coordination.

34.50 The Division undertakes daily security coordination with the field and provides operational guidance to security personnel on the ground. It mobilizes staff to meet field requirements; supports designated officials in emergency situations; maintains up-to-date security and threat information for programme managers, including the Secretary-General and other senior officials; and provides extensive assistance in the event of crisis situations, including hostage-taking incidents. Staff of the Division travel extensively to their regions of operation to provide assistance or undertake assessment missions.

34.51 For the biennium 2012-2013, the General Assembly approved the upgrade of four Chief Security Adviser posts from the P-5 to the D-1 level for the duty stations and field missions categorized as "very large missions" by their scale, complexity of programmes and mandates and elevated degree of programmatic and managerial responsibilities in a complex humanitarian emergency. Since then, the operational demands at other field duty stations have increased significantly and, given the increased managerial needs, it is proposed to reclassify a further two Chief Security Adviser

posts from the P-5 to the D-1 level. The increased cost for the posts, which are established under jointly financed activities, would be offset by reductions in non-post costs, such that there would be no net impact upon the overall resources requirements for the jointly financed activities under this subprogramme.

Table 34.17 **Objectives for the biennium, expected accomplishments, indicators of achievement and performance measures**

Objective of the Organization: To provide a secure and safe environment for staff members of the United Nations system, associated personnel and eligible dependants, and to enable United Nations programme activities at all duty stations

Expected accomplishments of the Secretariat	*Indicators of achievement*		*Performance measures*		
			2016-2017	*2014-2015*	*2012-2013*
(a) Improved safety and security arrangements for the United Nations	(i) Updated security risk assessments endorsed by the Division of Regional Operations	Target	100	100	100
		Estimate		96	100
	[Percentage]	Actual			88
	(ii) Increased percentage of minimum operating security standards/minimum operating residential security standards consistent with security risk assessments endorsed by the Division of Regional Operations and implemented in the field	Target	95	95	85
		Estimate		96	85
		Actual			94
	[Percentage]				
(b) Enhanced preparedness for contingencies and crisis situations along with timely response to security incidents	(i) Increased percentage of security plans endorsed by the Division of Regional Operations	Target	95	95	90
		Estimate		80	90
	[Percentage]	Actual			68
	(ii) Timely activation of crisis management and crisis response system	Target	100	–	–
		Estimate		–	–
	[Percentage]	Actual			–
(c) Enhanced security management system	(i) Timely review and endorsement of security levels in countries and missions where the United Nations is present	Target	100	–	–
		Estimate		–	–
		Actual			–
	[Percentage]				
	(ii) Increased percentage of security assistance and assessment visits to countries and missions where the United Nations is present	Target	50	–	–
		Estimate		–	–
		Actual			–
	[Percentage]				

External factors

34.52 Component 1 of the subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that:

(a) There is no major change in the current level of threat and risks to United Nations personnel, assets and operations;

(b) Emergency operational requirements, such as responding to catastrophic national disasters, military conflicts or terrorist attacks affecting United Nations personnel, assets and operations, do not involve the substantial redirection of the subprogramme's resources from their original purpose;

(c) All stakeholders, including the United Nations agencies, funds and programmes, are supportive of the Department's efforts and extend to it full cooperation in attaining the programme objective, including compliance with policies and procedures relating to the security and safety of staff members of the United Nations system, associated personnel, eligible dependants and facilities.

Outputs

34.53 During the biennium 2016-2017, the following outputs will be delivered (regular budget and other assessed, unless otherwise stated):

Other substantive activities

(a) Security assistance and assessment visits to duty stations (30);

(b) Maintenance of a 24-hour-a-day, 7-day-a-week communications centre to provide worldwide communications with the Department's security professionals, rapid reporting and core communications during a crisis situation (regular budget only);

Technical cooperation

(c) Participation in regional workshops on security and safety issues; annual meetings/seminars concerning the security management system and best practices, as well as compliance inspections (40); participation in regional workshops on security and safety issues (1);

Internal oversight services

(d) Review and update of all country security threat and risk assessments, minimum operating security standards and security plans on an annual basis;

(e) Review of arrangements and procedures, at the country level, for premises fire safety, vehicular safety and building evacuation and conduct of respective training.

34.54 The distribution of regular budget resources for subprogramme 2, component 1, is reflected in table 34.18 below.

Table 34.18 **Regular budget resource requirements: subprogramme 2, component 1 (regional field operation coordination)**

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
Regular budget[a]				
Non-post	52 215.2	52 215.2	–	–
Total	**52 215.2**	**52 215.2**	–	–

[a] Resources comprising the United Nations share of jointly financed activities relating to the costs of regional field operation coordination and the United Nations regular budget share of the malicious acts insurance policy.

34.55 Regular budget resources in the amount of $52,215,200 would provide solely for the United Nations share of the jointly financed activities of component 1 of the subprogramme, comprising: (a) the costs of the jointly financed security operations in the field coordinated under the Division of Regional Operations at Headquarters, as detailed in table 34.19 below and explained in paragraphs 34.57 and 34.58 below ($51,220,700); and (b) the cost of the malicious acts insurance policy coverage of personnel in the field ($994,500).

34.56 The distribution of jointly financed resources for subprogramme 2, component 1, is set out below.

Table 34.19 **Jointly financed resource requirements: (gross budget of the regional field operation coordination, subprogramme 2, component 1)**

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
A. Gross budget[a]				
Post	163 478.3	163 492.5	766	766
Non-post	57 608.4	57 594.2	–	–
Subtotal	**221 086.7**	**221 086.7**	**766**	**766**
B. Other assessed	5 640.6	5 721.4	13	13
Total	**226 727.3**	**226 808.1**	**779**	**779**

[a] The United Nations share of the jointly financed budget under component 1 of subprogramme 2 amounts to $52,215,200 and $52,215,200 for the biennium 2014-2015 and 2016-2017, respectively.

34.57 The gross budget resources in the amount of $163,492,500, reflecting an increase of $14,200, would provide for financing of 766 established posts (1 D-2, 8 D-1, 25 P-5, 184 P-4, 106 P-3, 13 P-2, 1 General Service (Principal level), 20 General Service (Other level) and 408 Local level). The net increase of $14,200 is the combined effect of the proposed reclassification of two posts from the P-5 level to the D-1 level ($99,000), partially offset by decreased requirements related to the proposed reassignment of two field security risk adviser posts (1 P-4 and 1 P-3) in the field to the newly proposed Physical Security Unit at New York Headquarters ($84,800). The net increase under posts would be accommodated through the internal redistribution of non-post resources from travel of staff (see below).

34.58 Non-post resources amounting to $57,594,200, reflecting a net decrease of $14,200, would provide for: (a) other staff costs ($11,020,100) comprising overtime ($401,400), general temporary assistance ($499,200) and the overall costs of malicious acts insurance for personnel in the field of the United Nations system ($10,119,500); (b) technical expert consultancy services to carry out evaluations of security risks in the field ($228,100); (c) travel of desk officers to the field and the field security officers to Headquarters for consultations and training ($9,916,000); (d) contractual services ($13,467,200) to cover the administrative backstopping provided in the field by the United Nations Development Programme (UNDP) and information technology services for staff of the Division of Regional Operations; (e) general operating expenses of field offices, including the rental and maintenance of premises, the maintenance of vehicles and safety, communications and office equipment, and communications ($15,429,100); various supplies for field offices ($5,576,600); and (f) the replacement of office, security and automation equipment in the field ($1,957,100). The net decrease of $14,200 reflects a proposed decrease in resource requirements for travel of staff.

34.59 The gross budget resources would be complemented by other assessed contributions of $5,721,400, reflecting an increase of $80,800 derived from the support account for peacekeeping operations Those resources would provide funding for 13 posts, travel of staff, contractual services and other operating expenses to supplement jointly financed activities in order to provide risk mitigation and to ensure high-quality best-practice security policies, standards and operational procedures across the United Nations field operations.

Component 2
Field support

Gross jointly financed budget resource requirements (before recosting): $14,240,800

Comprising: *(a)* *Regular budget share of jointly financed activities: $3,449,200*

(b) *Share of other United Nations system agencies, funds and programmes in jointly financed activities: $10,791,600*

Regular budget resource requirements (before recosting): $6,041,300

34.60 Substantive responsibility for subprogramme 2, component 2 is vested in the Field Support Service. The subprogramme will be implemented in accordance with the strategy detailed under programme 28 of the biennial programme plan for the period 2016-2017. The Field Support Service will continue to focus on creating conditions to minimize security-related incidents by translating security and critical-incident stress training standards into a coherent training programme for all participants in the United Nations security management system; conducting regional workshops and seminars on security and safety issues and stress management; developing and updating related training materials, taking into account gender-specific material; providing counselling services to staff exposed to critical-incident stress, as needed; and developing systems to ensure that all United Nations staff with responsibilities in the security management system have access to the information and tools that they need in order to perform their security functions.

Table 34.20 **Objectives for the biennium, expected accomplishments, indicators of achievement and performance measures**

Objective of the Organization: To provide safety and security knowledge and skills to all relevant actors within the United Nations system and to reduce and/or prevent critical-incident stress

Expected accomplishments of the Secretariat	*Indicators of achievement*	*Performance measures*	*2016-2017*	*2014-2015*	*2012-2013*
(a) Strengthened capacity of staff of the United Nations system to manage critical-incident stress	(i) Increased percentage of staff and their families in the high-risk duty stations in the field that receive stress-management training [Percentage]	Target	96	96	95
		Estimate		96	95
		Actual			95
	(ii) Increased number of United Nations and partner counsellors trained in critical-incident stress management in emergency settings [Number of counsellors trained]	Target	130	120	70
		Estimate		120	90
		Actual			105

Expected accomplishments of the Secretariat	*Indicators of achievement*		*Performance measures* 2016-2017	2014-2015	2012-2013
	(iii) Increased percentage of affected United Nations staff who receive emotional first aid and psychological damage control following reported critical incidents	Target	97	97	95
		Estimate		97	95
		Actual			95
	[Percentage]				
(b) Strengthened capacity among the United Nations security management system, managers (designated officials, security management team members) and United Nations personnel	Increased participation in security training				
	Performance measures				
	[Percentage of chief security advisers participating in training]	Target	100	100	–
		Estimate		100	95
		Actual			–
	[Percentage of security advisers participating in the Intermediate Training Programme]	Target	100	100	–
		Estimate		100	85
		Actual			–
	[Percentage of security advisers participating in the Security Certification Programme]	Target	100	100	–
		Estimate		100	100
		Actual			–
	[Percentage of local security assistants participating in training]	Target	95	95	–
		Estimate		95	70
		Actual			–
	[Percentage of designated officials participating in training]	Target	100	100	–
		Estimate		100	90
		Actual			–
	[Percentage of security management team designated officials and interim participating in training]	Target	95	95	–
		Estimate		95	90
		Actual			–
(c) Capacity to locate staff and to provide members of the security management system with relevant security information	(i) Increased percentage of United Nations personnel and eligible dependants can be located at any given time at all United Nations locations worldwide	Target	96	96	96
		Estimate		96	95
		Actual			95
	(ii) Increased percentage of United Nations staff members with responsibilities in the security management system have access to the information management tools provided by the Department on the website of the United Nations Security Management Information Network (www.unsmin.org), including the security level system, premises vulnerability questionnaire, cost share budget system, travel request information process, travel advisory and directory and the United Nations locations database	Target	96	96	96
		Estimate		96	95
		Actual			92

Expected accomplishments of the Secretariat	*Indicators of achievement*		*Performance measures* 2016-2017	2014-2015	2012-2013
(d) Improved capacity to provide aviation risk management services relating to the suitability and use of air operators worldwide for operational planning	Improved timeliness of services and advice relating to the suitability and use of air operators worldwide to assigned air travel focal points and Department of Safety and Security security professionals [Hours]	Target	72	–	–
		Estimate		–	–
		Actual			–

External factors

34.61 Component 2 of the subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that:

(a) There is no major change in the current level of threats and risks to United Nations personnel, facilities and activities;

(b) All stakeholders, including the United Nations agencies, funds and programmes, are supportive of the Department's efforts and extend to it full cooperation in attaining the programme objective, including compliance with policies and procedures relating to the security and safety of staff members of the United Nations system, associated personnel, eligible dependants and facilities;

(c) Emergency operational requirements, such as responding to national disasters, terrorist attacks targeting United Nations facilities and/or personnel or other critical security incidents, do not involve the substantial redirection of the subprogramme's resources from their original purpose.

Outputs

34.62 During the biennium 2016-2017, the following outputs will be delivered (regular budget and extrabudgetary):

Other substantive activities

(a) Chair the critical-incident stress management working group and the security training working group of the Inter-Agency Security Management Network and participate in the Network meetings, training workshops and meetings of the critical-incident stress-related and training-related working groups to promote development and system-wide dissemination of the United Nations standards on critical-incident stress prevention and management and safety and security standards;

(b) Build staff resilience in high risk duty stations through training on stress management and stress related fields;

(c) Deploy mobile training teams/officers to conduct and/or support safety and security training programmes of other agencies, funds, programmes and organizations of the United Nations security management system, as required;

(d) Develop and distribute information and communications material on stress management;

(e) Harmonize and standardize United Nations procedures on critical-incident stress management with the assistance of external experts at the highest level, representing the major current global trends in the critical-incident field;

(f) Maintenance of high priority and diverse information and knowledge management systems that will provide support to the United Nations security management system, including:

(i) Decision support systems for use by security officials within the accountability framework;

(ii) Crisis management support tools;

(iii) Ensuring that the underlying information systems infrastructure is resilient and highly available;

(g) Coordinate activities relating to the promulgation of new air travel policy within the United Nations security management system, to include the development and use of aviation risk management tools; conduct fact-finding missions to asses impact of new policy directives;

Technical cooperation

(h) Provide psychosocial support to United Nations staff in response to mass emergencies and isolated critical incidents;

(i) Revise and update, as necessary, safety and security training materials and standards for staff members, security officers and designated officials/security management team members;

(j) Train United Nations counsellors and non-United Nations locally based counsellors in United Nations critical-incident stress management procedures;

(k) Issue and distribute the revised versions of "Basic security in the field" and "Advanced security in the field" CD-ROMs and provide access to on-line Learning Management Systems for all countries and duty stations where United Nations personnel are present;

(l) Support and conduct training workshops for designated officials and chief security advisers, security management team members and security officers of United Nations system agencies, funds and programmes and conduct a security certification programme for newly appointed field security coordination officers;

(m) Conduct a hostage-incident management course and advanced hostage- incident management course for selected staff.

34.63 The distribution of regular budget resources for subprogramme 2, component 2 is reflected in table 34.21 below.

Table 34.21 **Regular budget resource requirements: subprogramme 2, component 2 (field support)**

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
Regular budget				
Post	2 459.6	2 322.1	9	9
Non-post[a]	3 723.2	3 719.2	–	–
Total	**6 182.8**	**6 041.3**	**9**	**9**

[a] Resource requirements include the United Nations share of jointly financed activities in the amount of $3,449,200 for the biennium 2014-2015 and $3,449,200 for the biennium 2014-2015.

34.64 The amount of $2,322,100, reflecting a decrease of $137,500, would provide for the partial financing of 9 posts (1 P-5, 2 P-4, 2 P-3, 1 P-2 and 3 General Service (Other level)) for the crisis

management capacity at Headquarters. The decrease of $137,500 is due to freezing recruitment against established posts, in line with General Assembly resolution 69/264.

34.65 Non-post requirements amounting to $3,719,200 would provide for: (a) general operating expenses, including communications costs, maintenance of furniture and equipment ($111,400); (b) various supplies and materials ($13,100); (c) acquisition and replacement of furniture and office automation equipment ($145,500); and (d) for the United Nations share ($3,449,200) of the jointly financed activities of the field support component of the subprogramme as detailed in table 34.22 below. The reduction of $4,000, under furniture and equipment, takes into account further reductions anticipated in the biennium 2016-2017, in line with General Assembly resolution 69/264.

34.66 The distribution of jointly financed resources for subprogramme 2, component 2 is reflected in table 34.22 below.

Table 34.22 **Jointly financed resource requirements: (gross budget of field support, subprogramme 2, component 2)**

	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
A. Gross budget				
Post	9 534.5	9 534.5	33	33
Non-post	4 706.3	4 706.3	–	–
Subtotal	**14 240.8**	**14 240.8**	**33**	**33**
B. Extrabudgetary	521.5	515.7	–	–
Total	**14 762.3**	**14 756.5**	**33**	**33**

34.67 The gross budget resources in the amount of $9,354,500 would provide for the continued financing of 33 posts (1 D-1, 2 P-5, 12 P-4, 9 P-3, 1 General Service (Principal level), 6 General Service (Other level) and 2 Local level).

34.68 Non-post requirements amounting to $4,706,300 wold provide for: (a) travel of staff for incident-related travel to field locations ($1,314,100); (b) contractual services for aviation safety technical services, specialized training of security and safety personnel and external printing requirements ($2,798,800); (c) general operating expenses, including communications, rental of furniture and office automation equipment ($575,000); and (d) supplies and materials ($18,400).

34.69 The gross budget resources would be complemented by extrabudgetary funding of $515,700, reflecting a decrease of $5,700 derived from contributions to the Trust Fund for Security of Staff Members of the United Nations System. Those resources would finance resource requirements for consultants, travel of staff, contractual services and other operating expenses and would provide for the preparation and dissemination of security and safety information to staff of the United Nations system and the development of information processes assisting the Department in carrying out its function to ensure high quality best practice security policies, standards and operational procedures across the United Nations field operations.

C. Programme support

Regular budget resource requirements (before recosting): $8,037,700

34.70 The Executive Office provides administrative and programme support in financial, personnel and general administrative matters to the substantive entities for the implementation of the mandated activities of the Department. It assists the Under-Secretary-General for Safety and Security in the preparation of the biennial programme plan, the preparation and implementation of the programme budget and reporting thereon, the management of extrabudgetary resources and the planning, control and coordination of requirements related to general office administration. In addition, the Executive Office is also responsible for the selection and career management of security advisers and security coordination officers assigned to field positions. The Executive Office also coordinates with UNDP on the administration and support provided by UNDP to the field security offices and with local administrations of the offices away from Headquarters and the regional commissions to ensure consistent administrative arrangements for the local security and safety services.

34.71 The distribution of regular budget resources for programme support is reflected in table 34.23 below.

Table 34.23 **Regular budget resource requirements: programme support**

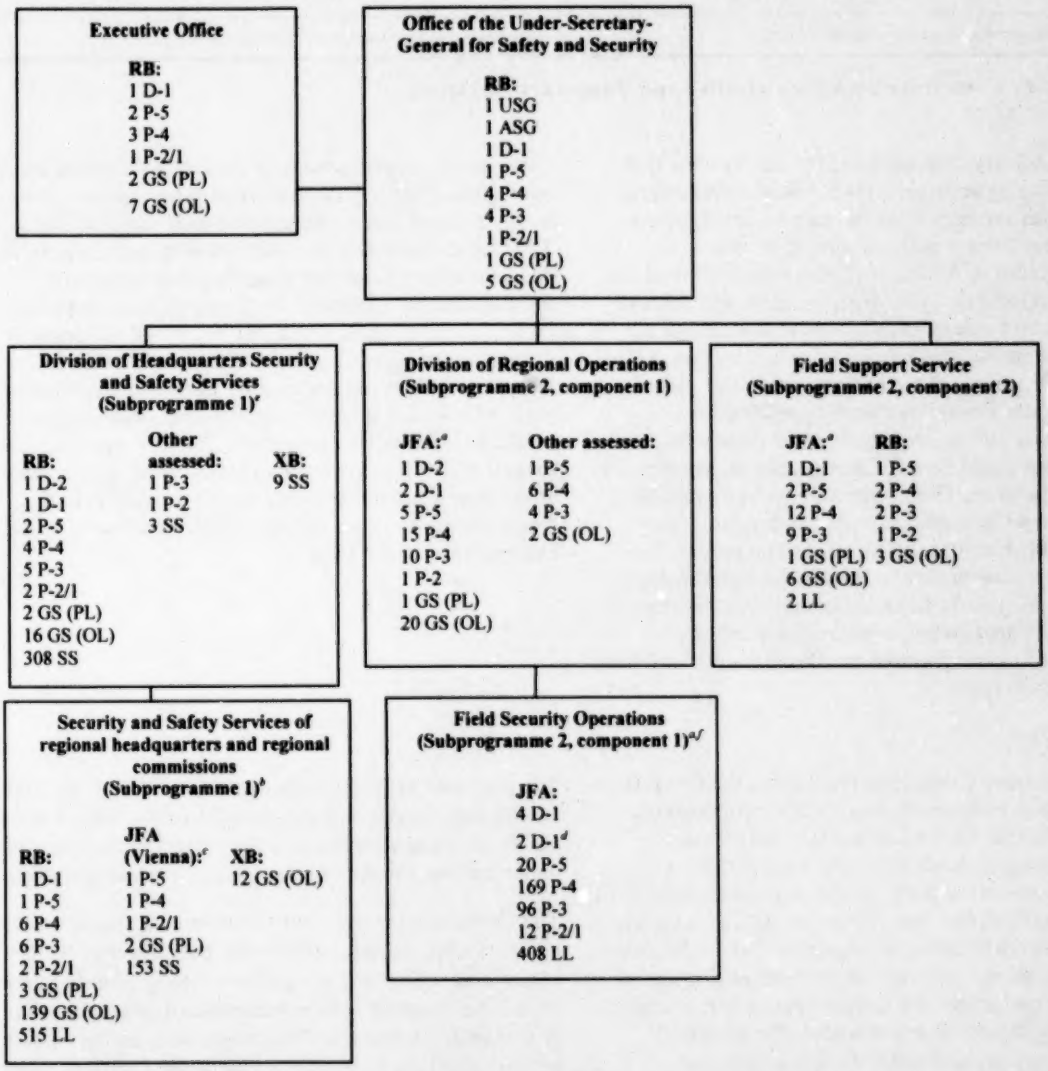
	Resources (thousands of United States dollars)		*Posts*	
	2014-2015	*2016-2017 (before recosting)*	*2014-2015*	*2016-2017*
Regular budget				
Post	4 185.8	4 063.2	16	16
Non-post	4 134.8	3 974.5	–	–
Total	**8 320.6**	**8 037.7**	**16**	**16**

34.72 The amount of $4,063,200, reflecting a decrease of $122,600, would provide for the partial financing of 16 posts (1 D-1, 2 P-5, 3 P-4, 1 P-2/1, 2 General Service (Principal level), 7 General Service (Other level)). The decrease of $122,600 is due to freezing recruitment against established posts, in line with General Assembly resolution 69/264.

34.73 Non-post requirements amounting to $3,974,500, reflecting a decrease of $160,300, would provide for (a) other staff costs to cover workload during peak periods ($540,600); (b) travel of staff to coordinate provision of administrative services in main locations ($87,600); (c) contractual services to cover the Department's share of centrally provided data-processing services ($325,900); (d) general operating expenses, including rental of premises, communications and rental of furniture and equipment ($2,649,700); (e) supplies and materials ($248,700); and (f) acquisition and replacement of furniture and office automation equipment ($122,000). The reduction of $160,300 reflects (a) reductions under travel of staff ($9,700) that take into account the anticipated impact of the approved standards of accommodation for air travel; and (b) reductions under general operating expenses ($99,800) and supplies and materials ($50,800) reflecting efficiencies anticipated during the biennium 2016-2017, in line with the General Assembly resolution 69/264.

Annex I

Organizational structure and post distribution for the biennium 2016-2017



Abbreviations: USG, Under-Secretary-General; ASG, Assistant Secretary-General; GS, General Service; JFA, jointly financed activities; LL, Local level; OL, Other level; PL, Principal level; RB, regular budget; SS, Security Service; XB, extrabudgetary.

[a] Jointly financed by all the organizations participating in the security management system in the field.

[b] Including the Security and Safety Services at other headquarters locations and the regional commissions.

[c] Jointly financed by all Vienna International Centre-based organizations relating to the Security and Safety Service, Vienna.

[d] Reclassification.

[e] Includes 1 P-5 and 1 General Service (Other level) for the newly proposed Physical Security Unit funded from the regular budget.

[f] Includes 1 P-4 and 1 P-3 for the newly proposed Physical Security Unit.

Annex II

Summary of follow-up action taken to implement relevant recommendations of the oversight bodies

Brief description of the recommendation	*Action taken to implement the recommendation*
Advisory Committee on Administrative and Budgetary Questions (A/67/624)	
The Advisory Committee reiterates its view that cost-sharing arrangements for field-related security activities are important to ensure that all parties concerned share both ownership of and accountability for the system (see A/64/7/Add.16, para. 25) and that the Department should critically review and assess its available resources against its current operational needs and priorities (see A/66/7, part XII, para. XII.6). In this connection, the Committee considers that the presentation of proposals for the gross budget for jointly financed activities could benefit from greater transparency and coherence. The Committee requests that the Secretary-General ensure that future budgetary proposals disclose the functions and related requirements that are to be met through the gross budget for jointly financed activities, as well as those of participating organizations that are expected to complement the Department's activities in the field (para. 9).	Participating organizations in the United Nations security management system continued with locally cost-shared budgets for ad hoc needs specific to the country location. The Department of Safety and Security continues to make all possible efforts to obtain security-related budgetary information, on a country-by-country basis, and at the individual level, from the agencies, funds, programmes and organizations. However, it should be noted that the Department does not necessarily have complete access to all such information and that participating organizations, which hold this information, are responsible for reporting thereon to their respective governing bodies, although not necessarily at the country level, and in a way that could help the Department develop a comprehensive picture of all security expenditures in the field.
(A/68/7)	
The Advisory Committee recalls that the General Assembly, in its resolution 67/254, reiterated the principle that the United Nations Secretariat, organizations, funds and programmes share a common responsibility for the safety and security of their staff and that funding for safety and security, based on cost-sharing arrangements, should be clear, predictable and secure. The Committee considers that the budget for the Department of Safety and Security should clearly delineate the proposed resources provided and the related functions performed by the Department of Safety and Security under the regular budget, by the participating organizations in the United Nations security management system network under the gross budget for jointly financed activities and by United Nations offices, agencies, funds and programmes, including information on the administrative and logistical support, infrastructure and backstopping	The proposed programme budget for the biennium 2016-2017 for the Department of Safety and Security outlines separately the proposed resources under the regular budget, as well as under the gross budget of the jointly financed activities. The Department has recently completed a strategic review of its operations, as requested by the Inter-Agency Security Management Network at its nineteenth session in September 2013. The strategic review outlines and catalogues the important work that the Department does and the safety and security services it provides to the United Nations security management system, but also identifies key areas for development and improvement. One of these is the operational integration of security components under the Department. As regards approved resources in respect of the mandates of the Department, the proposed programme budget provides a breakdown of information in three parts:

Brief description of the recommendation	*Action taken to implement the recommendation*
arrangements providing to security components financed by such entities outside of the gross budget for jointly financed activities. The Committee expects the proposed programme budget for 2016-2017 to contain comprehensive and consolidated information on the functions and related requirements that are to be met through the regular budget, the gross budget of jointly financed activities and the budgets of participating organizations, including information on the share of each participating organization in the gross budget of jointly financed activities. The Committee recommends that the General Assembly request that information on the guidelines and methodology developed by the Department to assign costs and responsibilities under the gross budget for jointly financed activities be included in the proposed programme budget for 2016-2017 (XII.17).	(i) **Regular budget**, which represents the cost to Member States; that is, the United Nations Secretariat activities and the United Nations share of jointly financed activities and the United Nations portion of the United Nations Office at Vienna (cost-shared) security services; (ii) **Gross budget**, which is the total cost of United Nations activities in the field (as above); (iii) **Full budget**, which is the combination of (i) and (ii) The proposed programme budget for the biennium 2016-2017, under section 34, provides the "full" budget, including the estimated requirements for the provision of safety and security worldwide at United Nations Secretariat Headquarters, offices away from Headquarters and regional commissions and in the field, as well as security coordination and support services to system-wide participating entities of the United Nations security management system.
The Advisory Committee acknowledges the wide range of security environments confronting the United Nations system in executing its mandates; however, it considers that the co-location of offices, agencies, funds and programmes, under suitable conditions, has the potential to enhance the safety and security of United Nations personnel and premises, while resulting in additional efficiencies and savings. The Committee reiterates its earlier recommendation that the Secretary-General ensure that opportunities for the co-location of United Nations entities are pursued at all duty stations in compliance with resolution 44/211 (see A/67/484, para. 26). The Committee recommends that the Assembly request the Secretary-General to provide information on progress in the co-location of United Nations offices, agencies, funds and programmes, and on the methodology utilized by the Department of Safety and Security in assessing the appropriateness of such co-location in the context of the proposed programme budget for 2016-2017 (XII.20).	The United Nations is vigorously pursuing the option of co-location of United Nations offices, agencies, funds and programmes, in situations and under conditions when common premises provide enhanced safety and security to United Nations personnel and suitable for programmatic needs of United Nations agencies, funds and programmes. Under security conditions, the following is considered: (a) a possibility of providing reliable protection to a large number of United Nations personnel concentrated in one location; (b) security risk environment, including prevailing threats, such as indirect fire and capabilities of extremist elements; (c) availability of premises suitable for co-location and co-occupancy in a given location, by size and infrastructure; and (d) feasibility of implementing security measures, including stand-off perimeter and access control. On the programmatic side, (a) regarding suitability of locations of specific common premises, many United Nations agencies, funds and programmes operate in remote locations distributing food aid, running vaccination campaigns and providing a wide range of assistance to displaced populations in refugee camps; and (b) some other United Nations agencies, according to their mandates, are co-located with government institutions of Member States, including health, irrigation and agriculture authorities. Following the above considerations, co-location was successfully implemented to a different degree of integration in multiple missions, including the United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic, the United Nations Assistance Mission in Afghanistan, the United Nations Assistance Mission for Iraq and the United Nations Mission in South Sudan.

Brief description of the recommendation	*Action taken to implement the recommendation*
The Advisory Committee recommends that the General Assembly request the Secretary-General to provide comprehensive information on the residential security allowance, the number of staff in the United Nations who receive the allowance, the duty stations where it is payable and how the requirement for the entitlement is budgeted in the context of the proposed programme budget for the biennium 2016-2017 (XII.21).	Minimum operating residential security standards are in effect in 161 countries and mission areas. In 126 countries the implementation of minimum operating residential security standards requirements is subsidized by United Nations security management system organizations and in 35 countries their implementation is the full responsibility of the system personnel. The purpose of the minimum operating residential security standards is to provide protection of life of staff in their residences, and they are directed at addressing levels of criminality. The minimum operating residential security standards are applicable only to internationally recruited staff. Qualifying factors are the security conditions in specific locations, as well as the scope and scale of security measures, from security guards and physical reinforcement to security alarm systems. Costs related to residential security measures are reflected under the common staff cost element of field security posts. The requirements for residential security allowance are normally updated as part of common staff cost rate in the context of the first performance report.

Annex III

Outputs produced in the biennium 2014-2015 not to be carried out in the biennium 2016-2017

A/68/6 (Sect. 34), paragraph	*Output*	*Quantity*	*Reason for discontinuation*
34.20 (b) (i)	Fact-finding missions: inspection and compliance missions according to the nature of the assignment; preparation and distribution of compliance reports with recommendations based on the findings identified during those missions;	40	**Obsolete**. Inspections and compliance missions will be discontinued as the internal strategic review of the Department identified the need of the Compliance Unit to redirect efforts towards implementing qualitative type of evaluations (impact, thematic and strategic evaluations) which do not require inspections and compliance missions.
	Development of self-evaluation methodologies and implementation of a minimum operating security standards compliance self-assessment;		**Completed**. The development of self-evaluation methodologies has been completed in 2015.
34.50 (e) (vii)	A global identity card system.		**Others**. The global identity card system was discontinued after the internal review of project priorities. Other systems such as Safety and Security Incident Recording System 2.0 and Automated Security Risk Assessment were given higher priority to meet immediate requirements.
34.50 (e) (iii)	A comprehensive training database;		**Completed**
34.50 (e) (iv)	A portal to provide substantive information sharing among stress managers;		**Completed**
34.50 (e) (v)	A system to integrate human resources data from information in Atlas and the Integrated Management Information System;		**Completed**
34.50 (e) (vi)	A system to track the results of compliance assessments.		**Completed**
	Total	**40**	

Annex IV

Indicative full budget of Department of Safety and Security activities

Resource requirements by component

(Thousands of United States dollars)

(1) *Full budget (regular budget and jointly financed activities)*

	2012-2013 expenditure	2014-2015 appropriation	Resource change: Amount	Resource change: Percentage	Total before recosting	Recosting	2016-2017 estimate
A. Executive direction and management	6 857.6	6 989.4	(66.0)	(0.9)	6 923.4	200.1	7 123.5
B. Programme of work							
Regular budget activities[a]	171 256.6	165 765.2	(641.0)	(0.4)	165 124.2	7 757.2	172 881.4
Jointly financed activities	251 255.9	266 072.8	–	–	266 072.8	11 298.9	277 371.7
C. Programme support							
Regular budget activities[a]	8 233.1	8 320.6	(282.9)	(3.4)	8 037.7	309.0	8 346.7
Subtotal, 1	**437 603.2**	**447 148.0**	**(989.9)**	**(0.2)**	**446 158.1**	**19 565.2**	**465 723.3**

(2) *Other assessed*

	2012-2013 expenditure	2014-2015 estimate	2016-2017 estimate
B. Programme of work			
1. Security and safety coordination	1 456.8	1 748.3	1 806.5
2. Regional field coordination and support			
Component 1. Regional field operation coordination	5 894.5	5 640.6	5 721.4
Subtotal, 2	**7 351.3**	**7 388.9**	**7 527.9**

(3) *Extrabudgetary*

	2012-2013 expenditure	2014-2015 estimate	2016-2017 estimate
B. Programme of work			
1. Security and safety coordination	6 132.2	6 480.7	5 750.3
2. Regional field coordination and support			
Component 2. Field support	580.3	521.5	515.7
Subtotal, 3	**6 712.5**	**7 002.2**	**6 266.0**
Total	**451 667.0**	**461 539.1**	**479 517.2**

[a] Resources exclude the United Nations share of jointly financed activities under the established cost-sharing arrangements in the amounts of: $61,144,000 actual expenditure for the biennium 2012-2013, and an estimated $62,676,000 for the biennium 2014-2015 and $62,676,000 for the biennium 2016-2017.

Post requirements (regular budget and jointly financed)[a]

	Established full budget		Temporary						Total	
			Full budget		Other assessed[b]		Extrabudgetary[c]			
	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017	2014-2015	2016-2017
Professional and higher										
USG	1	1	–	–	–	–	–	–	1	1
ASG	1	1	–	–	–	–	–	–	1	1
D-2	2	2	–	–	–	–	–	–	2	2
D-1	11	13	–	–	–	–	–	–	11	13
P-5	37	35	–	–	1	1	–	–	38	36
P-4/3	348	348	–	–	11	11	–	–	359	359
P-2/1	21	21	–	–	1	1	–	–	22	22
Subtotal	**421**	**421**	**–**	**–**	**13**	**13**	**–**	**–**	**434**	**434**
General Service										
Principal level	12	12	–	–	–	–	–	–	12	12
Other level	196	196	–	–	2	2	14	12	212	210
Subtotal	**208**	**208**	**–**	**–**	**2**	**2**	**14**	**12**	**224**	**222**
Other										
Security Service	461	461	–	–	3	3	9	9	473	473
Local level	925	925	–	–	–	–	–	–	925	925
Subtotal	**1 386**	**1 386**	**–**	**–**	**3**	**3**	**9**	**9**	**1 398**	**1 398**
Total	**2 015**	**2 015**	**–**	**–**	**18**	**18**	**23**	**21**	**2 056**	**2 054**

[a] The full budget post requirements of the Department of Safety and Security include 957 posts for 2014-2015 and 957 posts for 2016-2017 that are jointly financed under the established cost-sharing arrangements.

[b] Financed exclusively by the support account for peacekeeping operations.

[c] Financed from extrabudgetary resources derived from programme support income received as reimbursement for support to extrabudgetary administrative structures.

Distribution of full budget resources by component

(Percentage)

	Consolidated regular budget and jointly financed	Other assessed	Extrabudgetary
A. Executive direction and management	1.6	–	–
B. Programme of work			
Regular budget activities[a]	37.0	24.0	91.8
Jointly financed activities	59.6	76.0	8.2
Subtotal, B	**98.2**	**100.0**	**100.0**
C. Programme support			
Regular budget activities	1.8	–	–
Subtotal, C	**1.8**	**–**	**–**
Total	**100.0**	**100.0**	**100.0**

[a] Resources exclude the United Nations share of jointly financed activities under the established cost-sharing arrangements.